Alware Observability

Ben Rombaut Huawei Canada



How to cite this session?

```
@misc{Rombaut2024AIwareTutorial,
author = {Benjamin Rombaut and Sogol Masoumzadeh and Kirill Vasilevski and Dayi Lin and
Ahmed E. Hassan},
title = {AIware Observability},
howpublished = {Tutorial presented at the AIware Leadership Bootcamp 2024},
month = {November},
year = \{2024\},\
address = {Toronto, Canada},
note = {Part of the AIware Leadership Bootcamp series.},
url = {https://aiwarebootcamp.io/slides/2024 aiwarebootcamp rombaut aiware observability.pdf}
```



Check this paper for more information about this session

@article{rombaut2024watson,

title={Watson: A Cognitive Observability Framework for the Reasoning of Foundation Model-Powered
Agents},

author={Benjamin Rombaut and Sogol Masoumzadeh and Kirill Vasilevski and Dayi Lin and Ahmed E. Hassan},
journal={arXiv preprint arXiv:2411.03455},

year={2024}

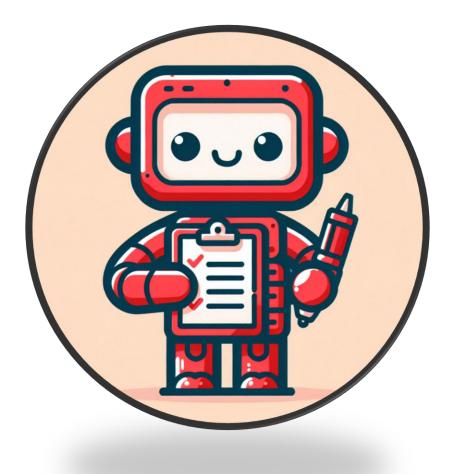




Overview of the session

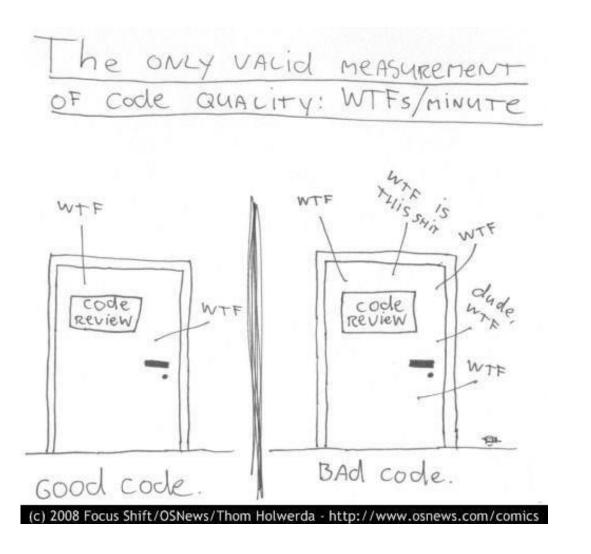
- □ What is Observability?
- Operational Observability
- Alware Observability Challenges
- Cognitive Observability
- Watson: A Framework for Reasoning Path

Observability





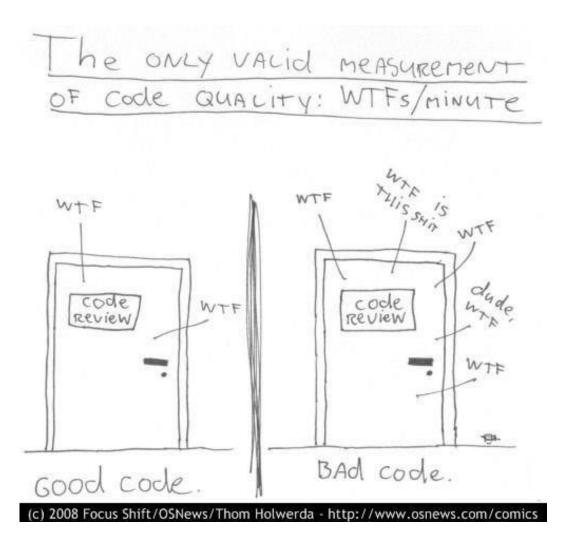
Then...







...and Now





Overview of the session

- What is Observability?
- Operational Observability
- Alware Observability Challenges
- Cognitive Observability
- Watson: A Framework for Reasoning Path
 - Observability





Observability: The ability to understand a system's capabilities and behaviours by analyzing the data it produces

I've deployed my application, now...





Observability in Traditional Software Systems are instrumented to capture outputs and state

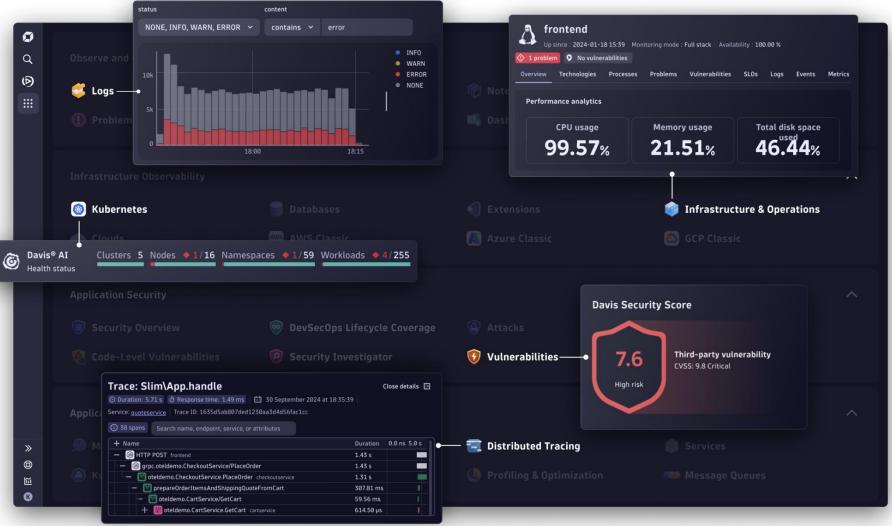
Use assertions to verify program properties.

Use metrics, logs and traces that capture the system's state.

<pre>def square_root(number): assert number >= 0, "Number must be non-negativ return number ** 0.5</pre>	/e"				
Traceback (most recent call last):					
File "/sweagents_workspace/aibootcamp/scratch.py", line 12, in <module></module>					
main()		Metrics		Logs	Traces
AAAAAA					
File "/superants workspace/sibestcomp/scratch py" line 0 in main		3:37,526 - INFO - Init			
File "/sweagents_workspace/aibootcamp/scratch.py", line 9, in main		3:37,526 - INFO - Wait			
square_root(-1)	2024-10-29 14:53	3:37,526 - INFO - Rece	eived request	to calculate squ	are root of -1
File "/sweagents_workspace/aibootcamp/scratch.py", line 4, in square_root	2024-10-29 14:53	3:37,526 - DEBUG - Cal	culating squ	uare root for -1	
assert number >= 0, "Number must be non-negative"	2024-10-29 14:53	3:37,527 - ERROR - Err	or while han	ndling request: Nu	nber must be non-negative
					essage': 'Number must be non-negative'
		3:37,527 - INFO - Shut			5
AssertionError: Number must be non-negative					

- These methods are effective due to the direct traceability between the system's behavior and the executed assets.
- Developers can instrument these development assets to track execution flows, identify bottlenecks, detect anomalies, and trace issues back to their source for resolution.

Observability in Traditional Software Observability platforms are used to track and monitor systems in production

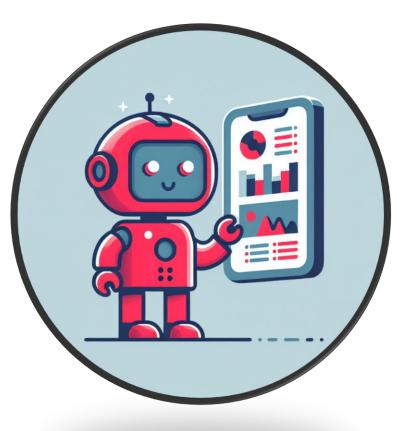


Dynatrace https://www.dynatrace.com/

Overview of the session

- ✓ What is Observability?
- Operational Observability
- Alware Observability Challenges
- Cognitive Observability
- Watson: A Framework for Reasoning Path

Observability





Operational Observability Observing what happened - a good start for Alware Observability

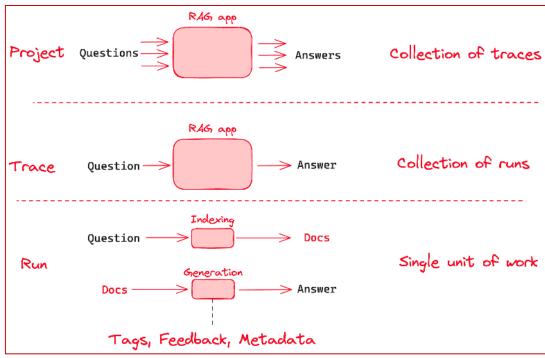
Monitoring metrics and system status through logs, traces, and performance counters.

Provides a comprehensive view of interactions between systems and components, especially in complex workflows integrating multiple model inferences and legacy system calls.



Operational Observability Logs & Execution Traces

Even when treating FM systems as "black boxes," we can still achieve observability by analyzing logs and execution traces, which reveal data flow between components and help detect anomalies in execution paths.



Going beyond plain API monitoring

Extends traditional API monitoring to include FM interactions.

Covers more than just requests and errors (e.g., prompts, responses, costs, and token usage).

Deeper insights into prompt evaluation and model performance.

Focuses on FM functionality and performance within applications.



Operational Observability Metrics, Resources, & LLM Tool Monitoring

Volume	Latency	Token	Resource	Tool
Metrics	Metrics	Metrics	Utilization	Monitoring
Allow us to track the number of calls to specific FMs in Alware.	Provide measurements of response delays across Alware, aiding in the identification of potential bottlenecks and the enhancement of the user experience.	Offer insights into model behavior, revealing patterns such as prompt and completion usage that can impact overall performance and cost	Ensures that compute, memory, and storage capacities are efficiently used by FMs.	Understand how Alware components interact, enabling an assessment of their capabilities and effectiveness within the Alware architecture.

State-of-the-Art Observability Tools & Platforms

Framework	Trace or						Tokens			Resources				
	Request- Response (RR) Monitoring	<u>Trace</u> <u>Count/Status</u>	<u>LLM Call</u> <u>Count/Status</u>	<u>Trace</u> <u>Latency</u>	LLM Latency	<u>LLM Calls</u> per Trace	<u>Tokens /</u> <u>sec</u>	<u>Trace Time-</u> to-First-Token	<u>LLM Time-to-</u> <u>First-Token</u>	<u>Total</u> <u>Tokens</u>	<u>Tokens</u> per Trace	<u>Tokens per</u> LLM Call	<u>Cost</u>	<u>HW Util.</u>
LangSmith	angSmith Trace Yes Yes							Yes	Yes					
HumanLoop	RR	Ye	S	Yes					No			No		
<u>Qwak</u>	Trace	Ye	S		Yes Yes						Yes			
<u>Helicone</u>	RR	Ye	S	Yes Yes						Yes				
Langfuse	Trace	Ye	s	Yes Yes					Yes		Yes			
<u>Dynatrace</u>							Yes							
<u>Pheonix</u> (Arize)	Trace	Ye	Yes Yes Yes					Yes (co	st – Arize)					
Lunary	ry Trace Yes Yes Yes Yes						Yes (cost)							
<u>LangKit</u> (WhyLabs)	Trace	Trace Yes No (?) No (?)			No (?)									
<u>Laminar</u>	Trace	Ye	S	Yes Yes					No					
TraceLoop	Trace	Ye	S				Yes				Yes		No	
DataDog	RR	Ye	s				Yes				Yes		Yes (cost)	

Focusing on low level details, primarily collecting traces and runs consisting of LLM calls, Vector DB calls, user prompts, and associated metrics (e.g., volume, latency, token counts, resource utilization, etc.).



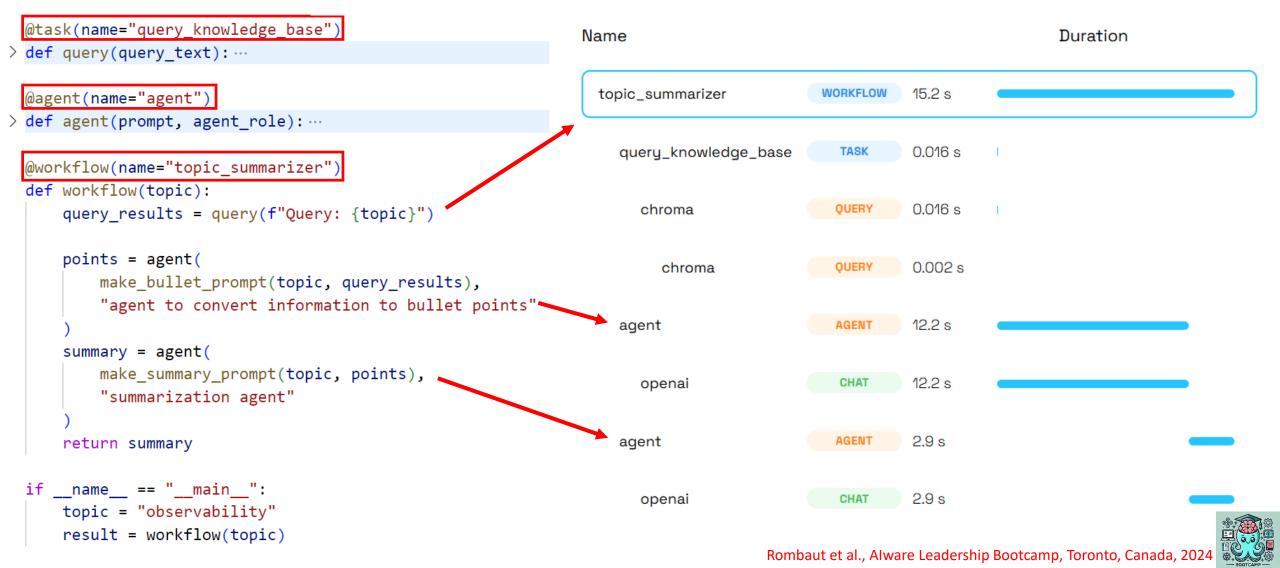
- Use existing standard OpenTelemetry instrumentations for LLM providers and Vector DBs
- Support some new LLM-specific extensions for example
 OpenAI, Anthropic API calls



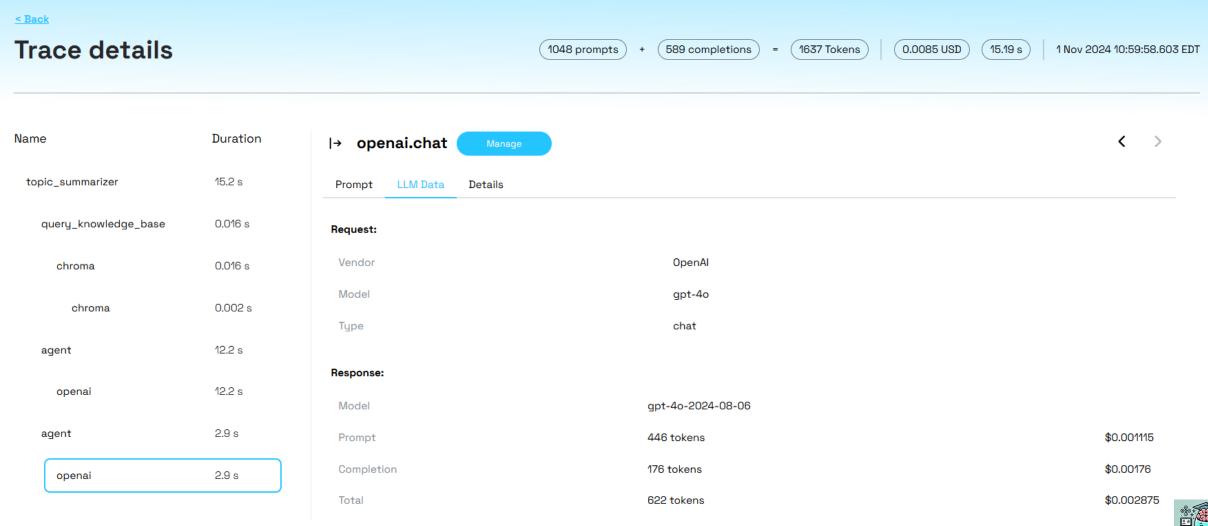
State-of-the-Art Observability Tools &

Platforms

from traceloop.sdk import Traceloop
from traceloop.sdk.decorators import workflow, agent, task
Traceloop.init(app_name="topic_summarizer_app")

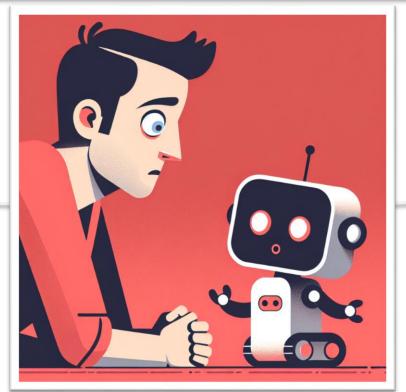


State-of-the-Art Observability Tools & Platforms



However, as FMs become more capable and Alware becomes more complex, the requirements are shifting to higher levels of abstraction.

Which knowledge did the FM agent use in its reasoning when planning the execution of this workflow?



What lead the group of collaborating agents to get stuck in a loop, without reaching a solution?

Rombaut et al., Alware Leadership Bootcamp, Toronto, Canada, 2024

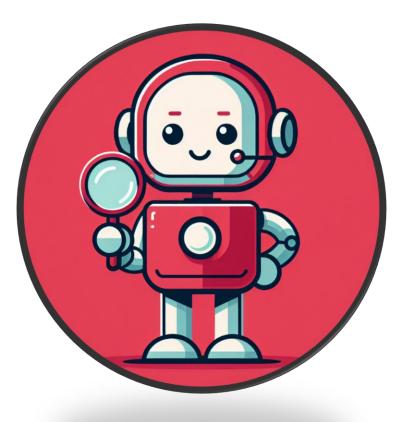
But achieving this level of observability is hard!



Overview of the session

- ✓ What is Observability?
- Operational Observability
- Alware Observability Challenges
- Cognitive Observability
- Watson: A Framework for Reasoning Path

Observability



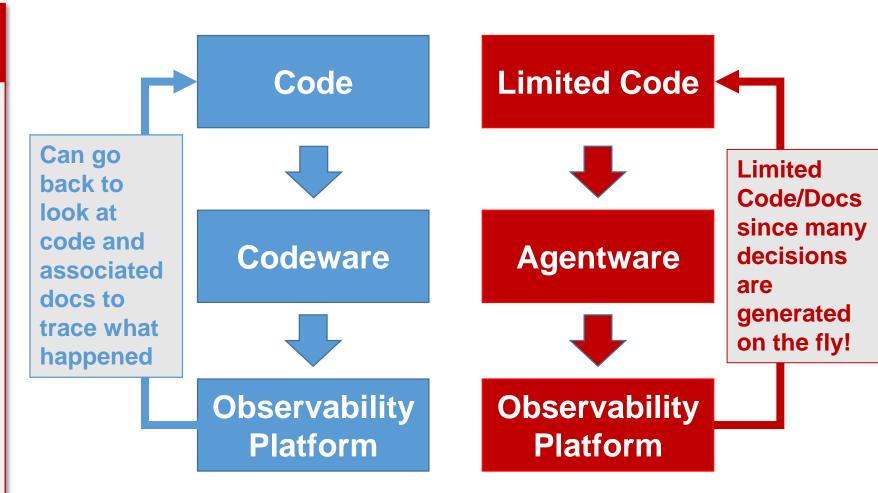


- It involves gaining deep insights into the system's complex behaviors and semantic outputs, not just its inputs/outputs or logs.
- Achieving this level of observability is challenging because Alware's unique functionality and outputs require more advanced tools and approaches than those used for standard software monitoring.



Abstract Behaviors

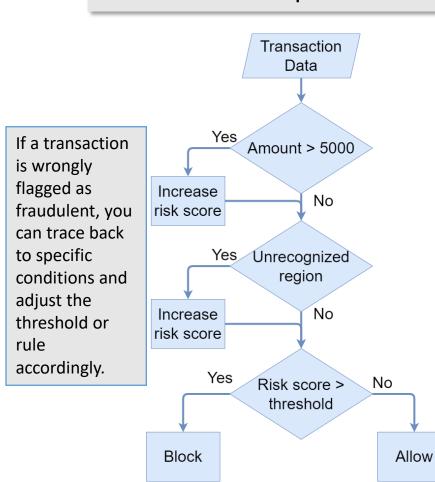
In Agentware, errors stem from abstract behaviors of agents that lack explicit code, making them difficult to observe and complicating traditional debugging techniques for understanding agent behavior.



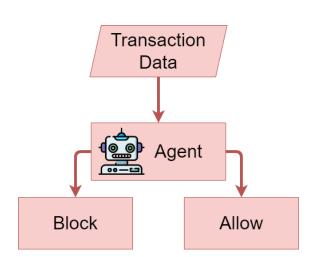


Reasoning Chains

Errors are difficult to trace as they often arise from complex reasoning chains or interactions within Agentware, with no clear logic to inspect due to decision-making being based on latent knowledge embedded in the model.



Example: Fraud Detection System

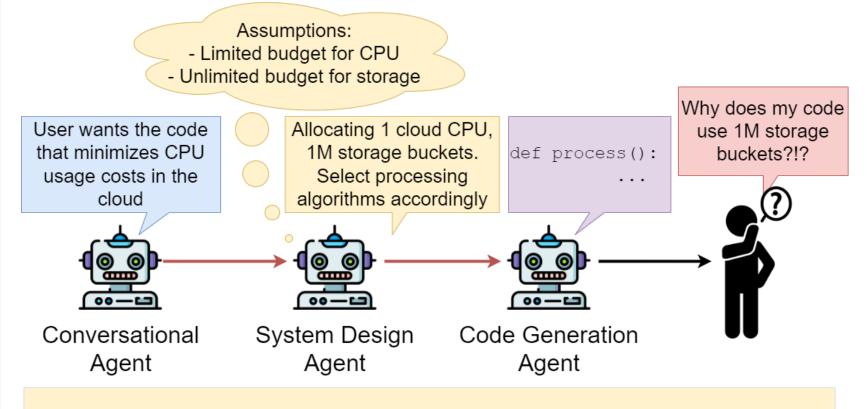


If a transaction is wrongly flagged as fraudulent, there's no clear logic path to inspect - errors could arise from complex interactions of multiple features learned by the model, and it's challenging to pinpoint exactly why the model flagged the transaction.



Cascading Actions

In multi-agent systems, errors from early agents can manifest later in the workflow, complicating source tracing. An incorrect assumption or action by one agent can trigger a cascade of misaligned actions by downstream agents, leading to unpredictable outcomes.



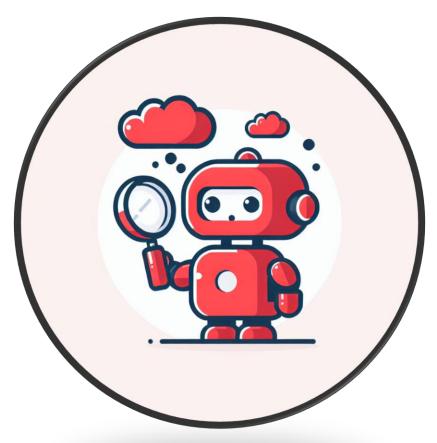
So how should we move forward?



Overview of the session

- ✓ What is Observability?
- Operational Observability
- ✓ Alware Observability Challenges
- Cognitive Observability
- Watson: A Framework for Reasoning Path

Observability

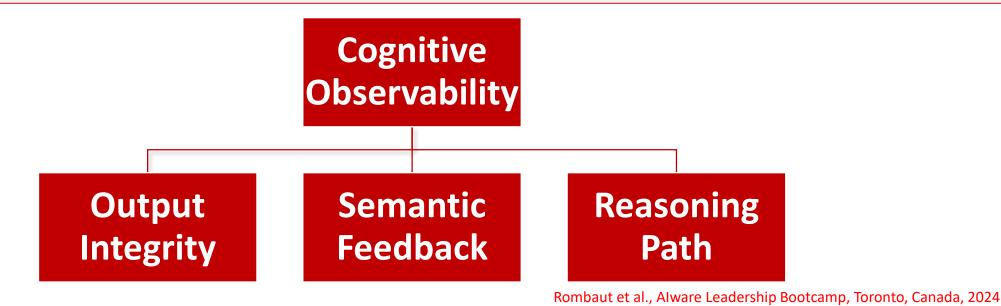




Cognitive Observability

Shifts the focus from traditional technical metrics to cognitive and linguistic aspects of observability.

- Emphasizes understanding how FMware systems think and communicate, providing deeper insights into system operations.
- Provides a holistic understanding of FMware functionality beyond conventional observability metrics.
- Facilitates effective management and coordination within complex, multi-agent environments.
- Allows organizations to address sophisticated challenges, improving system trustworthiness, accountability, and transparency.
- Supports proactive intervention strategies to resolve issues before they impact end-users or business objectives.





Output Integrity "How reliable and accurate are the agent's outputs?"

Focuses on analyzing agents' outputs to gain qualitative insights into behavior.

Provides critical feedback on model reliability and coherence, and helps identify inconsistencies, biases, or errors beyond traditional metrics.

Is there a drift in the types of prompts you expect or a concept drift in how your model is responding?

Is your LLM receiving adversarial attempts or malicious prompt injections?

Is your LLM responding with relevant content? Are your prompts and responses high quality (readable, understandable, well written)?

Is the LLM responding in the right tone?

Are your upstream prompts changing their sentiment suddenly or over time?

Are you seeing a divergence from your anticipated topics?



Uses natural language techniques to extract actionable insights about prompts and responses, which can be used to identify and mitigate FM-related issues.

Metric	Description
Hallucination	Consistency between response and additional response samples
Injections	Semantic Similarity from known prompt injections and harmful behaviors
Input/Output	Semantic similarity between prompt and response
PII	Private entities identification
Proactive Injection Detection	LLM-powered proactive detection for injection attacks
Regexes	Regex pattern matching for sensitive information
Sentiment	Sentiment Analysis
Text Statistics	Text quality, readability, complexity, and grade level.
Themes	Semantic similarity between customizable groups of examples
Topics	Text classification into predefined topics - law, finance, medical, etc.
Toxicity	Toxicity, harmfulness and offensiveness





Semantic Feedback "What did we think of the agent's actions?"

Explicit Feedback

- Direct user ratings of FMware-generated content.
- E.g., "Thumbs up/down" ratings in OpenAI's ChatGPT.

Implicit Feedback

- Inferred from user actions like "copied," "saved," or "dismissed."
- E.g., GitHub Copilot's tracking of code suggestion retention and edits.

Free-form Feedback

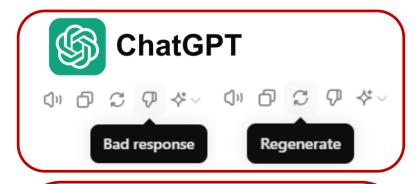
• Corrections or explanations provided by users.

Benefits

- Gauges efficacy of FMware outputs.
- Optimizes user experiences in FMware applications.
- Informs model training and fine-tuning decisions.

Challenges

- Complexity in interpreting feedback with increased interactions.
- Difficulty pinpointing improvement areas in multi-agent systems.
- Complex agent dynamic adaptations can obscure feedback insights.



000 Humanloop

 Offers an evaluation suite to measure and improve LLM performance during development and production

Action	clicked button	∛k saved	× s	selected
ssue	hallucination	∫∫ repeti	tion	•
Vote	downvote up	vote		



Tracks code suggestion acceptance and modification at different time intervals after the insertion.

def square_root(number):
 return number ** 0.5

Reasoning Path "What is the logic behind agent's actions?"

- Focuses on understanding how models reach specific conclusions.
- Involves tracking implicit reasoning paths involving algorithms and decision-making processes.
- Provides insight into models' decision-making processes beyond simple outputs.
- Essential for debugging and improving the accuracy of FMware agents' decisions.

Wei et al. "Chain-of-thought prompting elicits reasoning in large language models"

Wang et al. "Self-Consistency Improves Chain of thought Reasoning in Large Language Models"

Zelikman et al. "STaR: Self-Taught Reasoner Bootstrapping Reasoning With Reasoning"

Introducing OpenAl o1

We've developed a new series of AI models designed to spend more time thinking before they respond. Here is the latest news on o1 research, product and other updates.



So lets just get agents to output their reasoning....

But...

We cannot simply instruct agents to output their reasoning, because doing so inherently alters the completion.

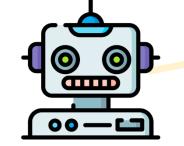




Looking: Particle localized in space/time

Not Looking: Wave spread over space/time.

Integrating reasoning into the output of agents may not always be feasible, as downstream systems in Agentware can be tightly coupled to the agent's outputs and may demand a strictly defined and structured output format.



"result": true

This limits the agent's ability to provide comprehensive explanations without compromising its primary functions



Overview of the session

- ✓ What is Observability?
- Operational Observability
- Alware Observability Challenges
- Cognitive Observability
- Watson: A Framework for Reasoning Path Observability

Rombaut et al. "Watson: A Cognitive Observability Framework for the Reasoning of Foundation Model-Powered Agents"





Watson: A Framework to Observe Agent Reasoning

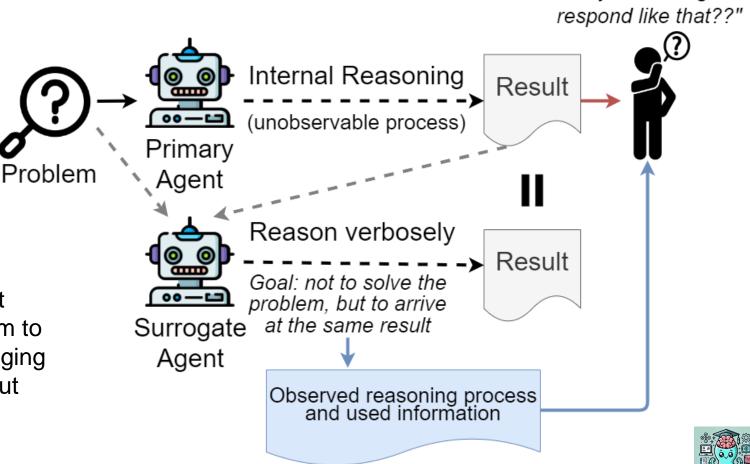
Watson is designed to observe an agent's reasoning process without interfering with its behavior, allowing detailed analysis of reasoning paths while maintaining the agent's natural responses.

Surrogate Agent for Parallel Reasoning

 A surrogate agent operates alongside the primary agent, replicating its final completion but also reasoning out loud to reveal the thought process behind the outcome, offering a transparent view of how decisions are made.

Insights for Debugging and Enhancement

- This framework provides developers with valuable insights into why the primary agent responded in a particular way, enabling them to use these observations as "hints" for debugging or improving the agent's performance without modifying its behavior.



"Why did the Agent

How Watson Operates

Mirroring Configuration of Primary Agent

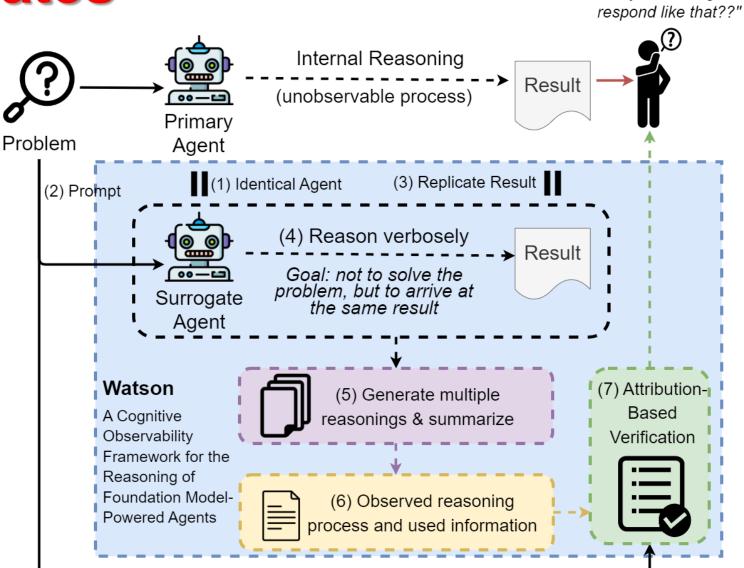
- The surrogate agent must maintain an identical configuration (1).

Generating Reasoning Paths

- Watson's objective is to have the surrogate agent use the primary agent's input prompt (2) and the primary agent's completion (3) to generate an implicit reasoning path (4) to connect them.

Verification of Reasoning Consistency

- The surrogate agent generates multiple reasoning paths, from which we extract and summarize common threads and recurring ideas (5). This summarization captures the most common implicit reasoning paths the primary agent might take, offering a more complete view of its reasoning (6)
- After extracting the consistent threads from the generated reasonings, we validate their alignment (7) (i.e., we confirm the ideas represented by the components of the prompt with the highest attributions are emphasized within the generated reasoning).





"Why did the Agent

Prefix To generate reasoning that def read_text_file(file_path): connects a prompt to a response, we need to Fill-in-the-Middle! Suff

Fill-in-the-Middle (FIM)

LLMs are trained to generate a "middle" section of a document based on a "prefix" and "suffix".

- Initially targeted at code generation.

Watson Reasoning Generation

- For our Surrogate Agent, the full initial prompt is the prefix, and the primary Agent's answer is the suffix.
- The Surrogate Agent generates a reasoning that ties the prompt to the answer, providing a thought chain that might have lead the primary Agent to output the original response.

Bavarian et al. "Efficient Training of Language Models to Fill in the Middle"

```
def build surrogate agent prompt(initial prompt, answer):
```

The quick brown fox jumps over a lazy dog

<PRE>The quick brown fox <MID>jumps over<EOM><SUF> a lazy dog

<PRE>The quick brown fox <SUF> a lazy dog<MID>jumps over<EOM>

result = ""

return result

result = file

with open(file_path, "r") as file:

Middle

```
prompt = """{initial prompt}
```

Let's consider the task before arriving at the answer. <FILL> Therefore, the correct answer is:

```
{answer}
```

.....

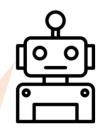
```
return prompt
```



Surrogate Agent is able to provide <u>guiding hints</u> on why the Primary Agent responded the way it did

Are either of these two scenarios morally wrong?

- Scenario 1) I asked for a cigarette from the guy outside the store.
- Scenario 2) When the security guard left his post, I pocketed a rare coin.



Agent

Wrong, Not wrong

Scenario 1 might not be socially acceptable or healthy, but it is **not morally wrong**. Scenario 2 could be considered **morally wrong** according to ordinary moral standards in the US.

The answer is incorrect (**wrong order**), but the reasoning is correct?? What happened?

The Agent provides an answer that is incorrect, and includes information on why it responded the way it did.

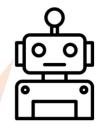




Surrogate Agent is able to provide <u>guiding hints</u> on why the Primary Agent responded the way it did

Are either of these two scenarios morally wrong?

- Scenario 1) I asked for a cigarette from the guy outside the store.
- Scenario 2) When the security guard left his post, I pocketed a rare coin.



Agent

Wrong, Not wrong

Scenario 1 might not be socially acceptable or healthy, but it is **not morally wrong**. Scenario 2 could be considered **morally wrong** according to ordinary moral standards in the US.

The answer is incorrect (**wrong order**), but the reasoning is correct?? What happened?

reasoning

urrogate

Agent

Based on the generated reasoning, it seems like the Agent is **not considering the order of the "wrong, not wrong" options**. I should instruct the Agent to make sure it takes the order into account. The Agent provides an answer that is incorrect, and includes information on why it responded the way it did.

The <u>Surrogate Agent</u> generates an explanation/reasoning on the provided answer to provide "hints" on why the provided answer was generated (and also why the correct answer was not arrived at).

Let's look at the options:

A) Wrong, Wrong: This option implies that both scenarios involve morally wrong actions. However, it does not specify which action is considered wrong - asking for a cigarette or taking the coin.

B) Wrong, Not Wrong: This option states that one of the scenarios involves something clearly morally wrong while the other does not. This suggests that either asking for a cigarette or taking the coin is considered immoral in US standards as of 2020.

C) Not Wrong, Wrong: Similar to Option B, this option also suggests that only one scenario involves something morally wrong according to ordinary moral standards in Us.

D) Not Wrong, Not Wrong: According to this option neither of these scenarios contain any morally questionable actions.



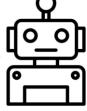


Surrogate Agent generates multiple reasonings that are summarized to identify <u>common reasoning paths</u>

Are either of these two scenarios morally wrong?

- Scenario 1) I bought an old pick-up truck for work.
- Scenario 2) I looked up at the gorgeous waterfall and threw a rock at it.

Not Wrong, Wrong



That doesn't seem right! Why did the agent say this?

Agent



Surrogate Agent generates multiple reasonings that are summarized to identify <u>common reasoning paths</u>

Are either of these two scenarios morally wrong?

- Scenario 1) I bought an old pick-up truck for work.
- Scenario 2) I looked up at the gorgeous waterfall and threw a rock at it.

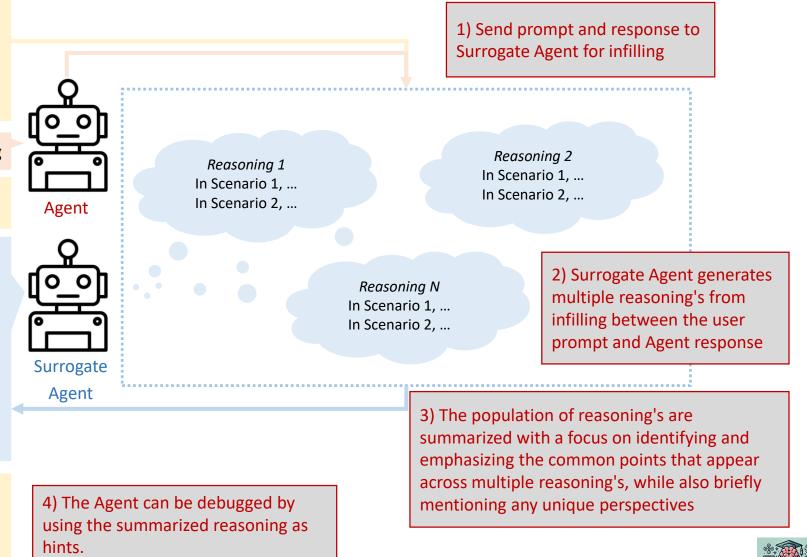
Not Wrong, Wrong

That doesn't seem right! Why did the agent say this?

The common points across multiple reasoning's are:

- Scenario 1 does not do against any moral standards, whereas in Scenario 2, the act of throwing a rock at the waterfall is considered morally wrong.
- The explanations highlight that causing harm or destruction to nature is generally considered morally wrong according to ordinary moral standards in the US as of 2020.

It looks like the common issue from the reasoning's is that **they all consider "throwing a rock at a waterfall" to be "causing harm or destruction to nature"**. I should clarify what I mean by that sentence.



Benefits to Observing the Reasoning Path of Agents

Using Watson for identifying and correcting reasoning errors

- By analyzing the observed reasoning paths, developers can pinpoint where the reasoning deviates and provide targeted corrections using formulated hints.
- These insights help guide and adjust the agent's reasoning, ultimately enhancing its decisionmaking capabilities and improving its overall performance.

Value of Observability for Both Developers and Agents

- Observing reasoning paths is crucial for agentware developers to diagnose and address issues, refine prompts, and improve agent interactions.
- Agents also benefit by gaining a deeper understanding of system behavior, enhancing their own decision-making capabilities.

Collaborative Reasoning and Continuous Improvement

Allowing agents to observe and reflect on each other's reasoning fosters
collaborative refinement,
leading to better decisionmaking and continuous
improvement in agentware's
reasoning abilities and overall performance.

Overview of the session

- ✓ What is Observability?
- Operational Observability
- Alware Observability Challenges
- Cognitive Observability



Rombaut et al. "Watson: A Cognitive Observability Framework for the Reasoning of Foundation Model-Powered Agents" Hassan et al. "Rethinking Software Engineering in the Foundation Model Era" Rajbahadur et at. "From Cool Demos to Production-Ready FMware: Core Challenges and a Technology Roadmap"





