Agentic architectures and workflows

- **k**eheliya Gallaba
- keheliya.github.io

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Check this paper for more information about this session

@article{gallaba2024tom,

title={On the Role of Theory of Mind towards Intent-first Development Environments},

author={Gallaba, Keheliya and Arabat, Ali and Lin, Dayi and Sayagh, Mohammed and Hassan, Ahmed E},
journal={arXiv},

 $year = \{2024\}$

}



Overview of the session

What is an Agent?

□ Agents operate in environments

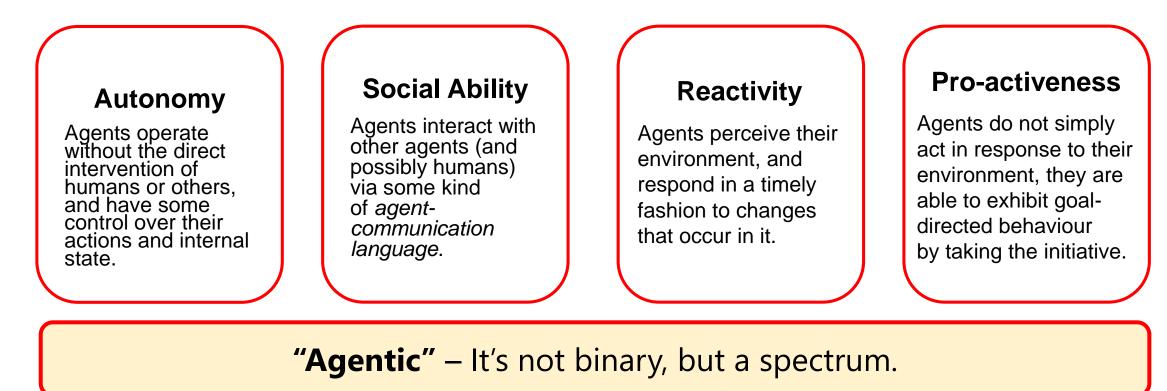
□ Types of agentic memory

- Procedural Memory
 Semantic Memory
 Episodic Memory
- □ Action Space of Agents
- □ Reasoning and Planning
- □Cognitive Architectures
- □ Multi-agent Abstractions
- □ Agents in Action
- □ Agent Applications in Software Engineering
- □ Open Research Challenges



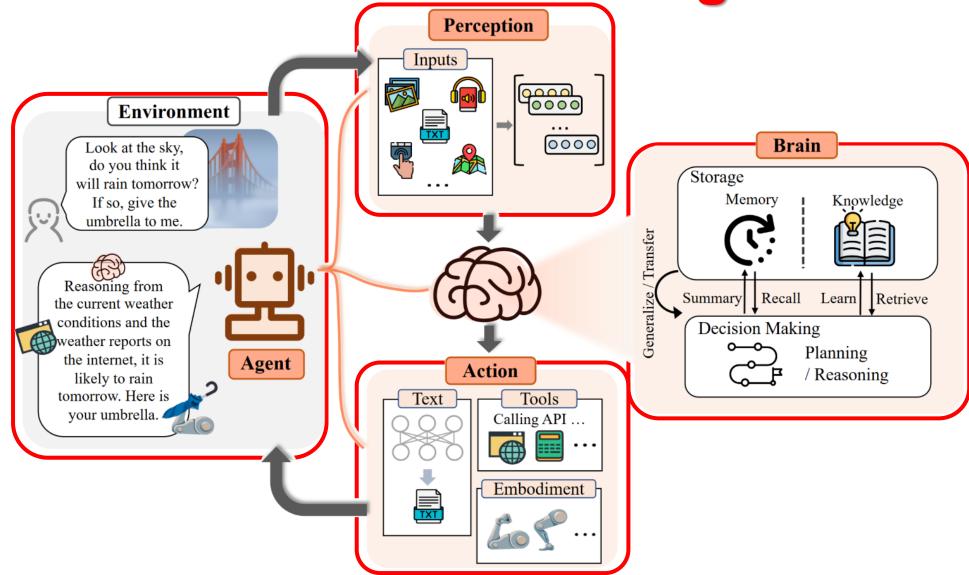
What is an agent?

- Weak notion: Agent is an entity that can perceive and act upon their environment.
- But then, is thermostat an agent?
- Strong notion: Entities that possess desires, beliefs, intentions, and the ability to act.
- Key properties:



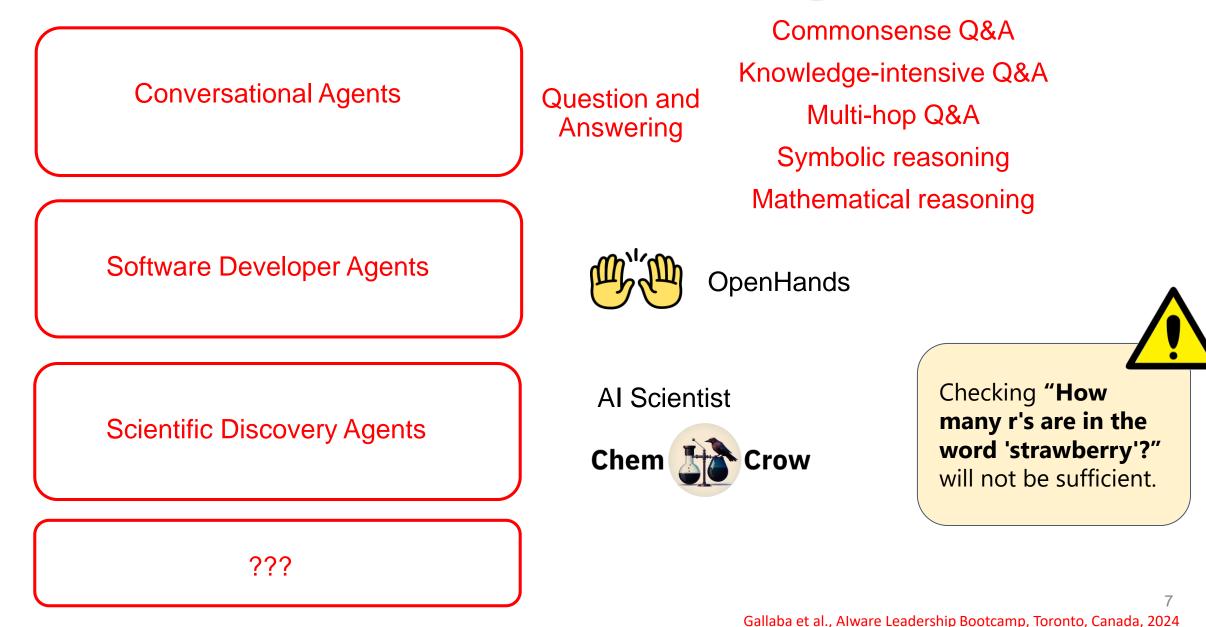
Xi et al., The Rise and Potential of Large Language Model Based Agents: A Survey Michael Woolridge, Intelligent Agents: Theory and Practice, 1994 Kapoor et al., AI Agents That Matter

Foundation-model-based agents





Foundation-model-based agents





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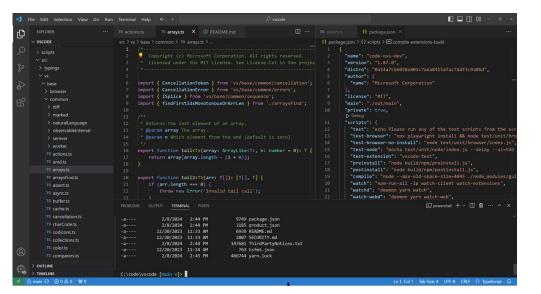
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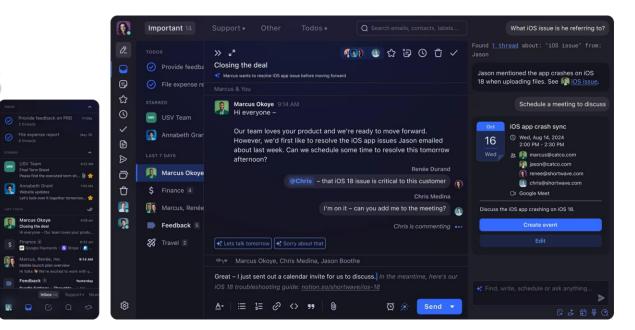
□ Open Research Challenges

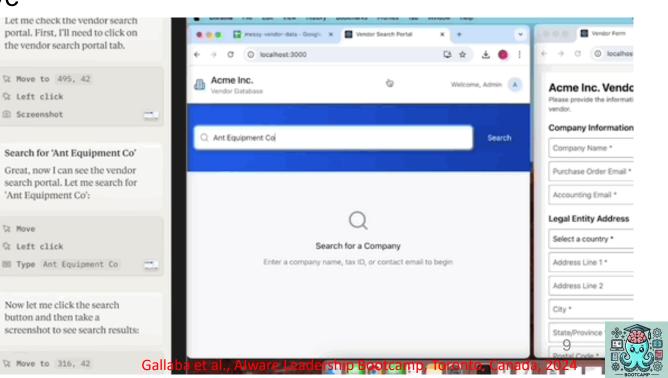


Agents operate in **Digital Environments**

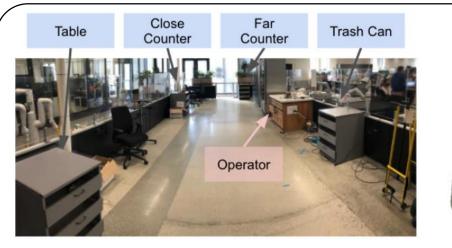
- Source Repositories: Github, Gitlab
- Games: Atari DQN, AlphaGo
- IDEs: VSCode, Jetbrains
- Task Management Software: Jira, Trello
- Office Software: Google Docs, Microsoft Office
- Communication Tools: Gmail, Slack, Shortwave
- Or the whole desktop! e.g., Anthropic's computer use

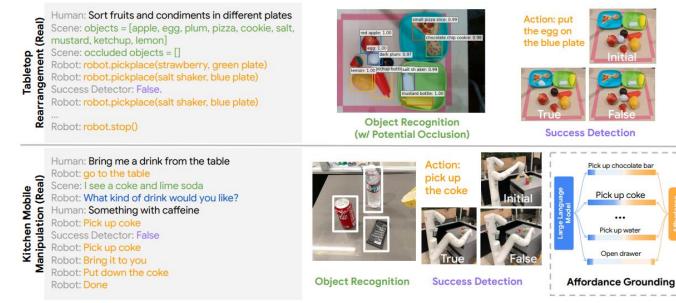






Agents operate in **Physical Environments**





Huang et al., Inner Monologue: Embodied Reasoning through Planning with Language Models Wen et al., On the Road with GPT-4V(ision): Early Explorations of Visual-Language Model on Autonomous Driving

Sec. 2.1 Traffic light understanding

Prompt:

RGB image, 640 x 512

Frontal view, Pre-manipulation pose Describe the condition of all the traffic lights in the image, if there are countdowns, please describe them together.



GPT-4V:

The traffic lights in the center show a green light and a red arrow with a countdown displaying "4". On the left and right, the traffic lights are showing red.

Sec. 3.1 Corner cases

Prompt:

You're the mature driver behind the wheel and this image is what you see now. Describe what you see and what you plan to drive next.



Prompt:

Describe the condition of all the traffic lights in the image, if there are countdowns, please describe them together.



GPT-4V:

The traffic light is showing a green light with a countdown displaying "4", and a red arrow with a countdown displaying "2".

Prompt:

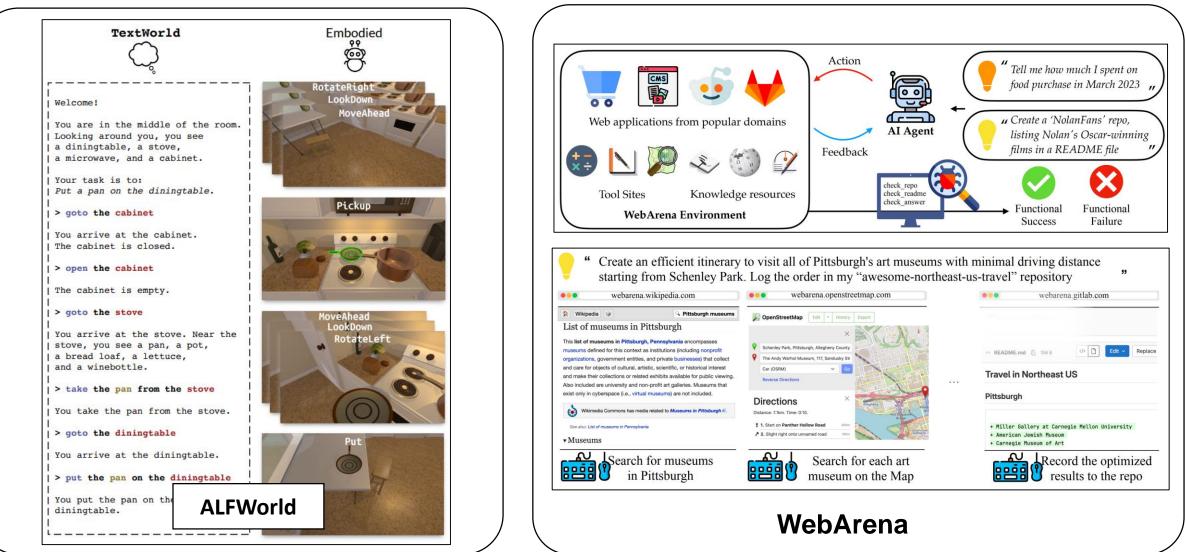
You're the mature driver behind the wheel and this image is what you see now. Describe what you see and what you plan to drive next.



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Gallaba et al., Alware Leadership Bootcamp, Toronto, Canada, 2024

Agents operate in Simulated Environments



Shridhar et al., ALFWorld: Aligning Text and Embodied Environments for Interactive Learning, ICLR 2021 Zhou et al., WebArena: A Realistic Web Environment for Building Autonomous Agents, ICLR 2024 Yao et al., WebShop: Towards Scalable Real-World Web Interaction with Grounded Language Agents, NeurIPS 2023

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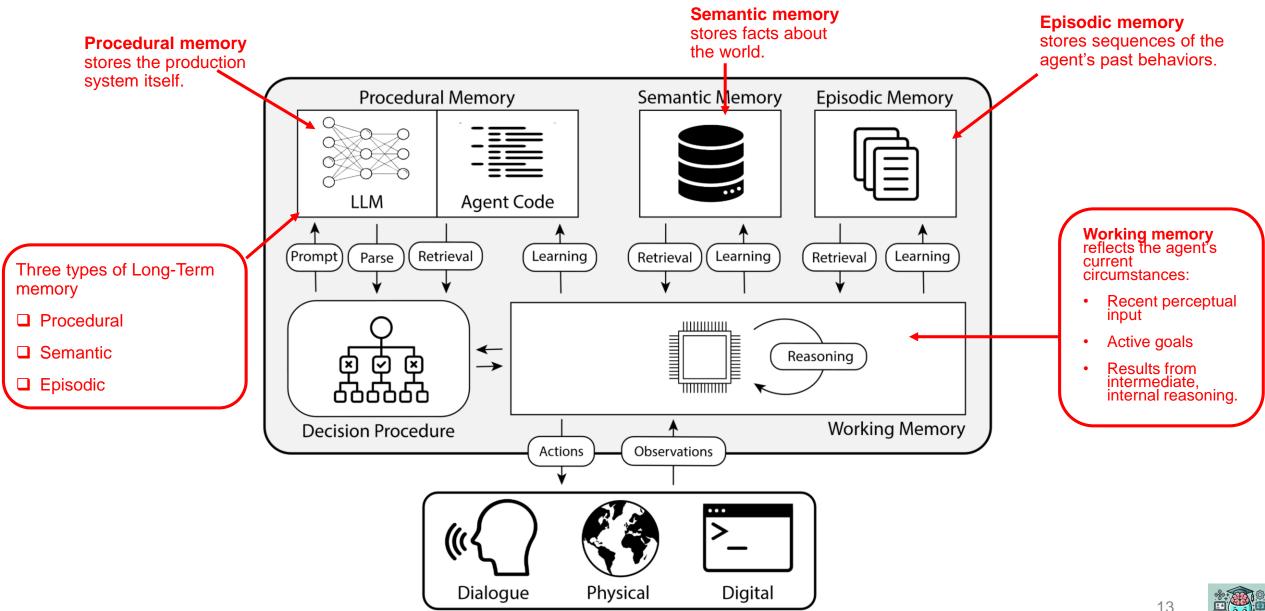
□ Agent Applications in Software Engineering

□ Open Research Challenges



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Different types of agentic memory



Cognitive Architectures for Language Agents http://arxiv.org/abs/2309.02427

Gallaba et al., Alware Leadership Bootcamp, Toronto, Canada, 2024

Types of agentic memory **Procedural Memory**

Stores the production system itself.

Must be initialized by the designer with proper code to bootstrap the agent.

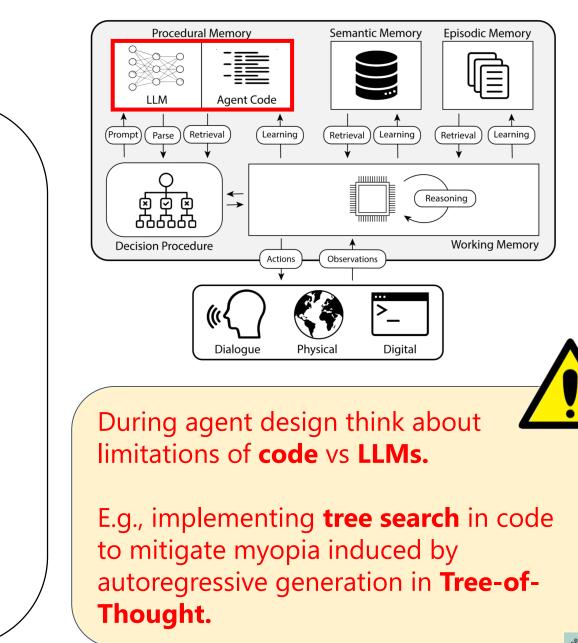
LLM Parameters/Weights

- A large, stochastic production system
- Hard to interpret
- Zero-shot flexibility in new contexts
- Implicit knowledge

Agent Code

- Deterministic rules
- Explicit knowledge
- Interpretable and extensible
- Limited to address situations the designer anticipates
- Brittle in face of stochasticity
- Procedures for implementing:
 - Actions (for doing reasoning, retrieval, grounding, and learning)
 - Decision Making

Cognitive Architectures for Language Agents <u>http://arxiv.org/abs/2309.02427</u> Yao et al., Tree of thoughts: Deliberate problem solving with large language models, 2023.



Gallaba et al., Alware Leadership Bootcamp, Toronto, Canada, 2024

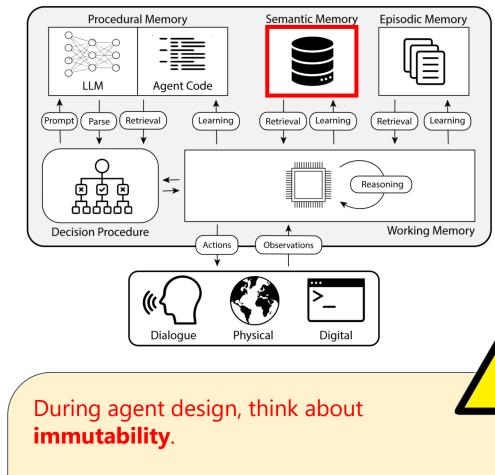


Types of agentic memory Semantic Memory

- Stores an agent's knowledge about the world and itself to be used for **reasoning** or **decision-making**.
- Using an external database for knowledge support.
- Retrieving from semantic memory:
 - Vector databases in Retrieval Augmented Generation (**RAG**) used to retrieve unstructured text.
 - Leveraging game manuals and facts to affect policy in **RL**.
- Updating semantic memory:
 - NLMap: Use vision-language models (VLMs) to build a ٠ semantic map of the environment. Query it later when executing instructions.
 - **Generative Agents:** Reason about raw experiences and store ٠ the resulting inferences.
 - **Reflexion:** Reflect on failed episodes and store the results as ٠ knowledge to be attached as context when solving later episodes.

Cognitive Architectures for Language Agents http://arxiv.org/abs/2309.02427 Open-vocabulary queryable scene representations for real world planning, ICRA, 2023 Reflexion: Language agents with verbal reinforcement learning, 2023.

Generative agents: Interactive simulacra of human behavior, 2023



E.g., employing a fixed, read-only semantic memory Vs. allowing writing new knowledge obtained from LLM reasoning into semantic memory (to incrementally build up world knowledge from experience.)



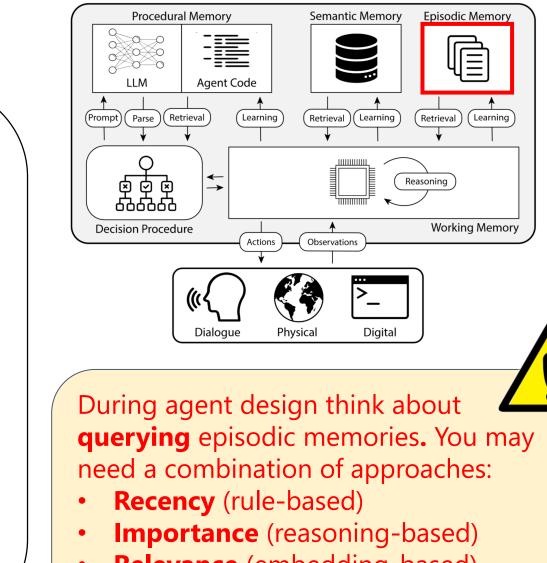
Types of agentic memory Episodic Memory

Stores sequences (raw experiences) of the agent's past behaviors or earlier decision cycles.

- Past event flows
- Previous game trajectories
- Training input-output pairs

During **planning**, these episodes may be retrieved into **working memory** to support **reasoning**.

Alternatively, periodical fine-tuning the LM on high-scoring trajectories (i.e., from episodic memory to **procedural memory**).





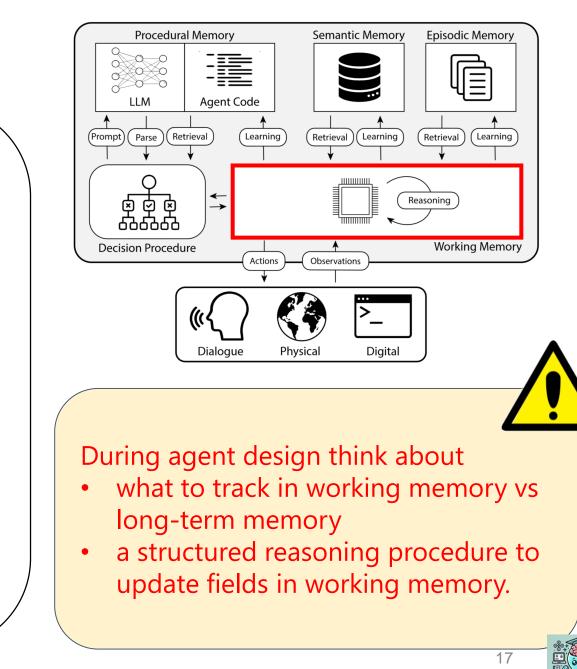
Types of agentic memory Working Memory

Maintaining active and readily available information for the current decision cycle

A central hub connecting different components and managing agent's current circumstances)

Can be implemented by

- using LLM's own context
 - Chain-of-thought
 - Scratchpads
- a data structure persisting across LLM calls



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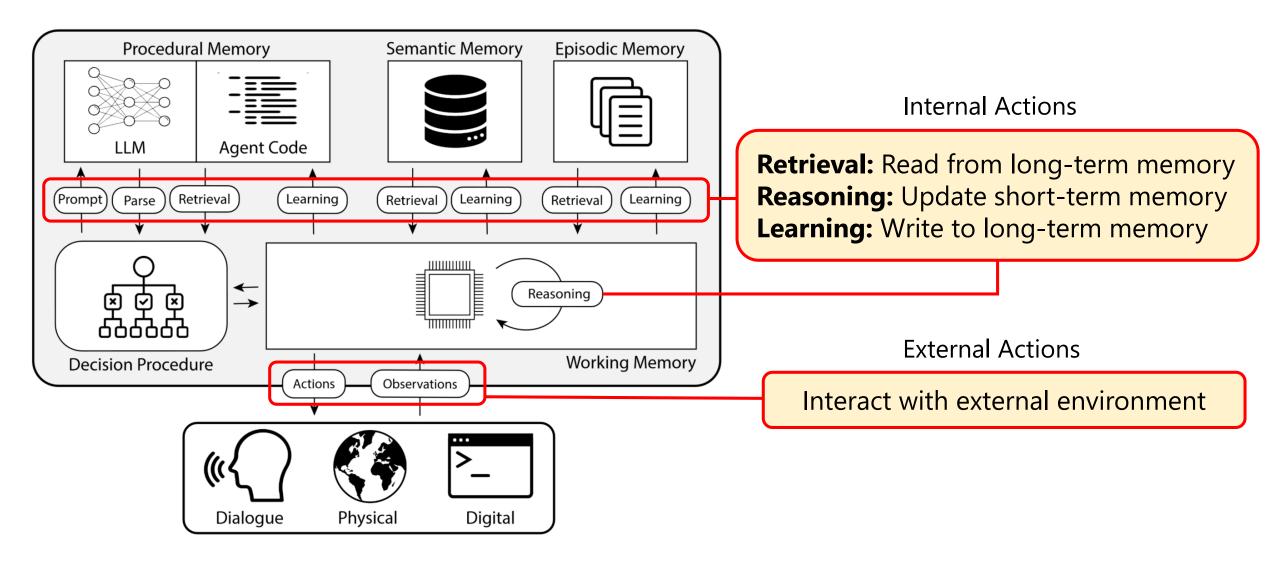
□ Agents in Action

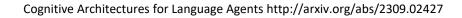
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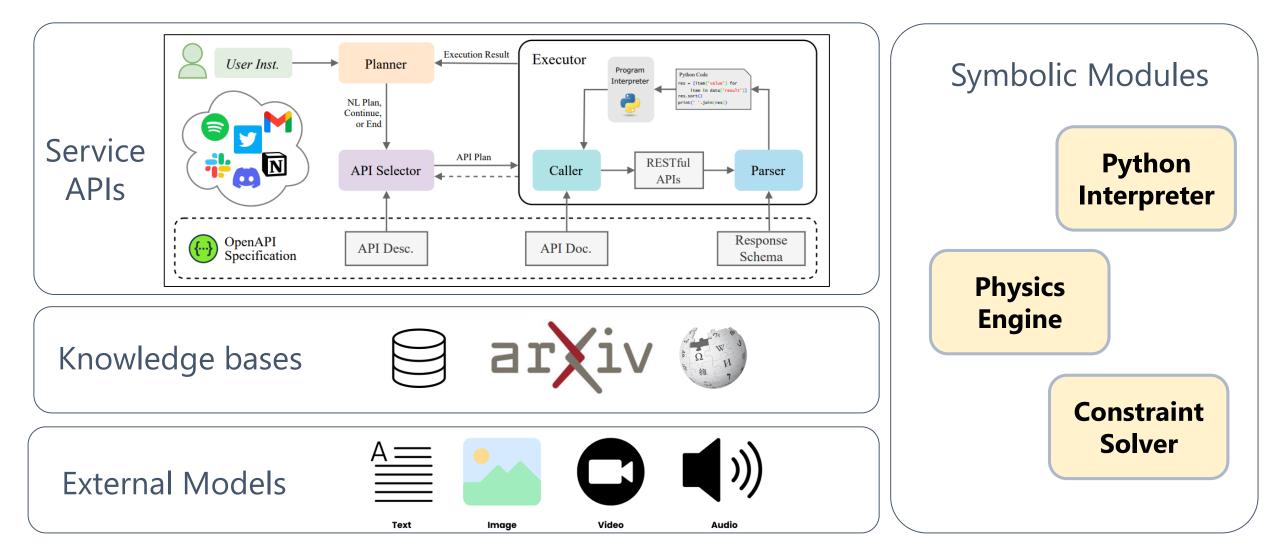
Action space of agents





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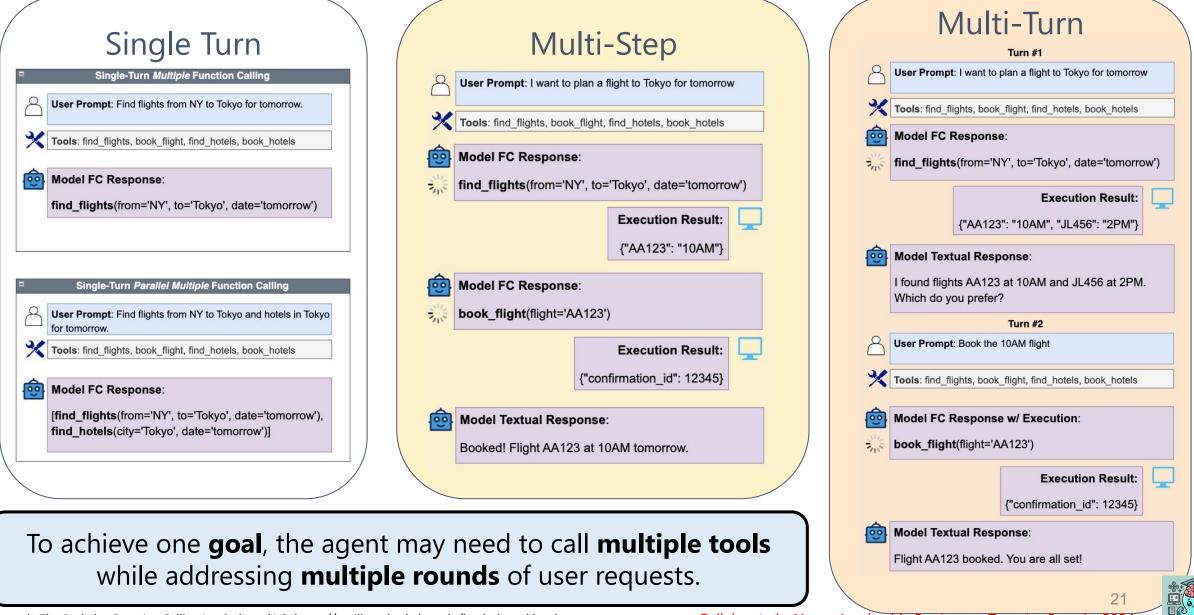
Action space of agents External Tools



Qin et al., ToolLLM: Facilitating Large Language Models to Master 16000+ Real-world APIs Song et al., RestGPT: Connecting Large Language Models with Real-World RESTful APIs



Interaction Patterns with External Tools

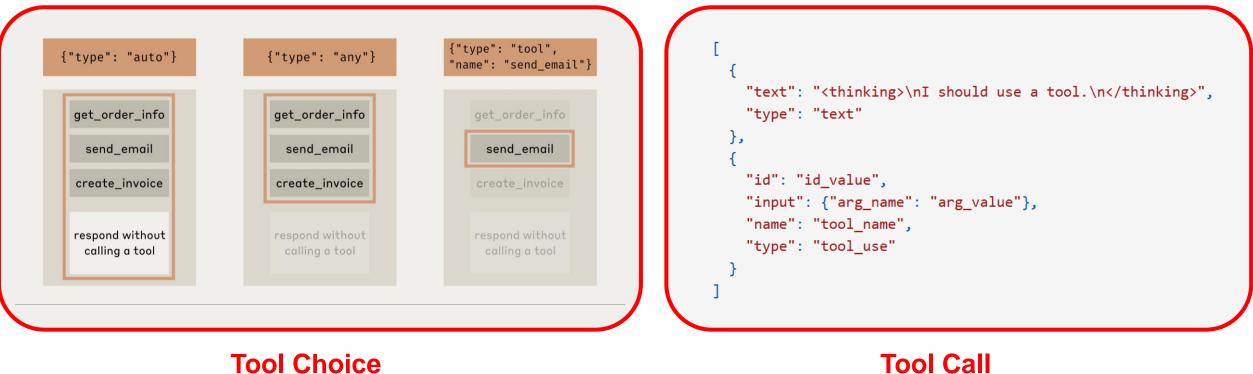


CoYan et al., The Berkeley Function Calling Leaderboard V3, https://gorilla.cs.berkeley.edu/leaderboard.html

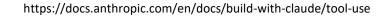
Interacting with the environment **Function/Tool calling in LLMs**

System Prompt

"In this environment you have access to a set of tools you can use to answer the user's question."



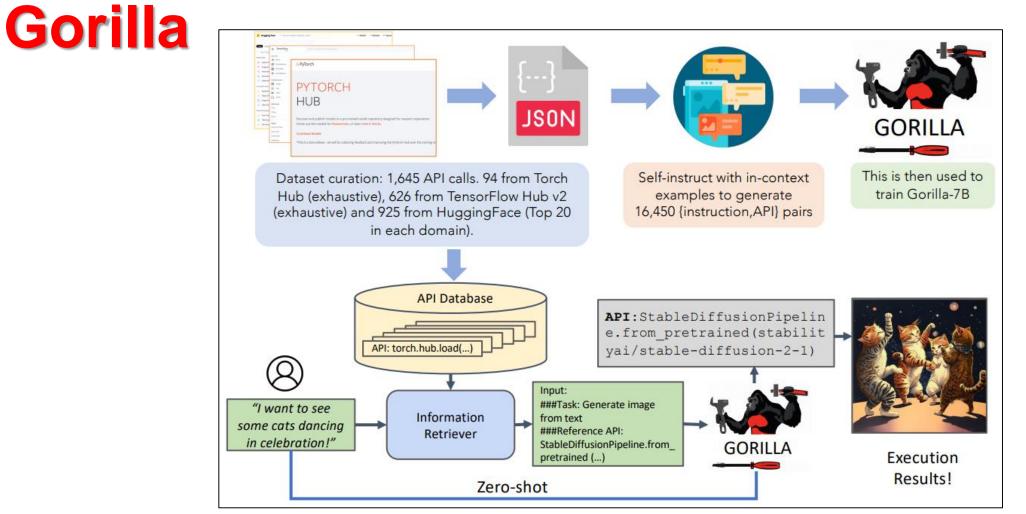
Tool Call



Gallaba et al., Alware Leadership Bootcamp, Toronto, Canada, 2024

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Interacting with the environment



Key Idea: Fine-tune LLM to generate correct input arguments for API calls mitigating hallucination during external API invocations.

Patil et al., Gorilla: Large Language Model Connected with Massive APIs

Gallaba et al., Alware Leadership Bootcamp, Toronto, Canada, 2024

Interacting with the environment CodeAct

Instruction: Determine the most cost-effective country to purchase the Available APIs [3] estimate final price(converted price: float, shipping cost: float) -> float smartphone model "CodeAct 1". The countries to consider are the USA, [1] lookup_rates(country: str) -> (float, float) [4] lookup_phone_price(model: str, country: str) -> float [5] estimate shipping cost(destination country: str) -> float [2] convert_and_tax(price: float, exchange_rate: float, tax_rate: float) -> float Japan, Germany, and India. LLM Agent using [Text/JSON] as Action CodeAct: LLM Agent using [Code] as Action I should calculate the phone price in USD for each country, then find the I should calculate the phone price in USD for each country, then find the 📩 Think Think most cost-effective country. most cost-effective country. Text: lookup_rates, Germany Action Action JSON: {"tool": "lookup_rates", "country": "Germany") countries = ['USA', 'Japan', 'Germany', 'India'] **Control & Data Flow of Code** final_prices = {} **Simplifies Complex Operations** Environment 1.1, 0.19 for country in countries: exchange_rate, tax_rate = lookup_rates(country) Text: lookup_phone_price, CodeAct 1, Germany </>
Action local_price = lookup_phone_price("xAct 1", country) JSON: {"tool": "lookup_phone_price", "model": "CodeAct 1", "country": "Germany"} converted_price = convert_and_tax(local_price, exchange_rate, tax_rate Environment 700 **Fewer Actions Required!** shipping_cost = estimate_shipping_cost(country) Text: convert_and_tax, 700, 1.1, 0.19 final_price = estimate_final_price(converted_price, shipping_cost) </>
Action JSON: {"tool": "convert_and_tax", "price": 700, "exchange_rate": 1.1, "tax_rate": 0.19 final_prices[country] = final_price most_cost_effective_country = min(final_prices, key=final_prices.get) Environment 916.3 most_cost_effective_price = final_prices[most_cost_effective_country] print(most_cost_effective_country, most_cost_effective_price) [... interactions omitted (look up shipping cost and calculate final price) ...] Text: lookup_rates, Japan Re-use `min` Function from Existing </>
Action JSON: {"tool": "lookup_rates", "country": "Japan"} Software Infrastructures (Python library) Environment 1.1, 0.19 [... interactions omitted (calculate final price for all other countries)...] The most cost-effective country to purchase the smartphone model is Japan The most cost-effective country to purchase the smartphone model is Japan Response Response with price 904.00 in USD. with price 904.00 in USD

Using **code** to interact with the environment rather than direct tool use could be more **effective** and **efficient**.

Wang et al., Executable Code Actions Elicit Better LLM Agents, ICML 2024

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Reasoning: Which One?

- **Commonsense Reasoning:** Capacity to infer and apply everyday, intuitive knowledge.
- **Mathematical Reasoning:** Ability to solve mathematical problems and derive logical conclusions.
- Logical Reasoning: Process of drawing inferences and making decisions based on formal logic.
- **Causal Reasoning:** Understanding of cause-and effect relationships and their implications.
- **Multimodal Reasoning:** Reasoning across multiple data modalities, such as text, images, and sensory information.
- **Visual Reasoning:** Focusing on tasks that require the interpretation and manipulation of visual data.
- **Embodied Reasoning:** Reasoning in the context of embodied agents interacting with their environment.
- Other Reasoning Tasks



Reasoning with foundation models

Decomposing a potentially complex task into simpler subtasks the FM can solve more easily by itself or using tools.

Giving more computation steps to the model before yielding the answer to a prompt.

Problem 1:

Question: Two trains running in opposite directions cross a man standing on the platform in 27 seconds and 17 seconds respectively and they cross each other in 23 seconds. The ratio of their speeds is:

Options: A) 3/7 B) 3/2 C) 3/88 D) 3/8 E) 2/2

Rationale: Let the speeds of the two trains be x m/sec and y m/sec respectively. Then, length of the first train = 27x meters, and length of the second train = 17 y meters. $(27x + 17y) / (x + y) = 23 \rightarrow 27x + 17y = 23x + 23y \rightarrow 4x = 6y \rightarrow x/y = 3/2$. **Correct Option:** B

Program Induction by rationale generation

Training with intermediate steps

Finetuning with intermediate steps

Prompting with intermediate steps

Chen et al., Premise Order Matters in Reasoning with Large Language Models. ICML 2024

Generating

intermediate steps

improves LLM

performance

Huang et al., Large Language Models Cannot Self-Correct Reasoning Yet. ICLR 2024. Shi et al., Large Language Models Can Be Easily Distracted by Irrelevant Context. ICML 2023.

Wang et al., Wang, Jason Wei, Dale Schuurmans, Quoc Le, Ed Chi, Sharan Narang, Aakanksha Chowdhery, Denny Zhou.

Self-Consistency Improves Chain of Thought Reasoning in Language Models Ling et al. Program Induction by Rationale Generation: Learning to Solve and Explain Algebraic Word Problems. ACL 2017

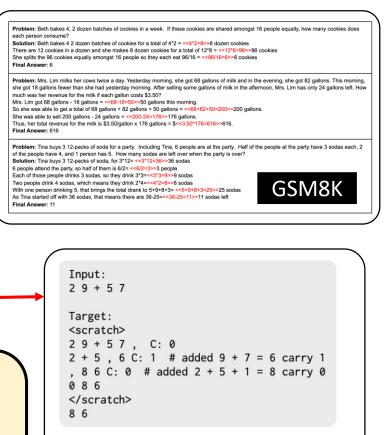
Cobbe et al. Training Verifiers to Solve Math Word Problems.

Nye et al. Show Your Work: Scratchpads for Intermediate Computation with Language Models 2021

Self-consistency greatly improves step-by-step reasoning Limitations:

- irrelevant context is distracting
- self-correction is bad
- premise order matters

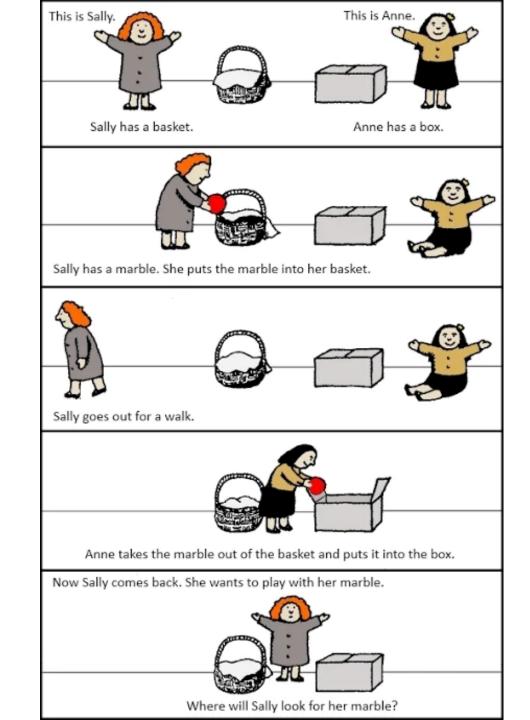
"Think Step-by-step"



Scratchpads for intermediate computation

27 Gallaba et al., Alware Leadership Bootcamp, Toronto, Canada, 2024

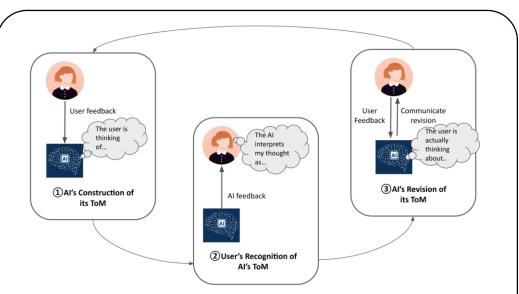




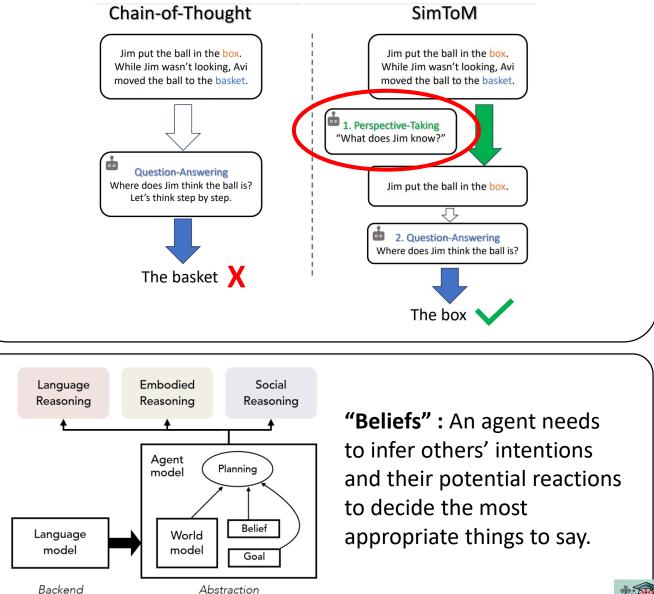
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Theory of Mind



Humans and AI can each **construct** and **revise** their interpretations of each other based on feedback from the other party. **Perspective-Taking** aka "stepping into the other person's shoes" improves LLMs' Theory-of-Mind Capabilities.



Wang and Goel, Mutual Theory of Mind for Human-Al Communication

Wilf et al., Think Twice: Perspective-Taking Improves Large Language Models' Theory-of-Mind Capabilities

Hu and Shu, Language Models, Agent Models, and World Models: The LAW for Machine Reasoning and Planning



Theory of Mind Cheryl's Birthday

Problem Statement

Cheryl gives Albert and Bernard a list of 10 possible birthdates, and tells them different information:

- Albert knows the month.
- Bernard knows the day.

Cheryl provides the following 10 possible dates:

- May 15, May 16, May 19
- June 17, June 18
- July 14, July 16
- August 14, August 15, August 17

Albert then says: "I don't know when Cheryl's birthday is, but I know that Bernard doesn't know either."

Bernard replies: "At first I didn't know when Cheryl's birthday is, but now I know."

Albert then says: "Now I know when Cheryl's birthday is."

- Write a program to solve the problem.
- Solve a re-worded variant of the problem with different dates.

10 Solvers Used:

- <u>A human programmer</u>
- ChatGPT 40
- <u>Microsoft Copilot</u>
- Gemini Advanced
- Meta Al Llama 405B
- <u>Anthropic Claude 3.5 Sonnet</u>
- Perplexity
- <u>Cohere Chat</u>
- HuggingFace Chat
- <u>You.com</u>

 The human solved both requests.
 None of the LLMs could reliably solve either request.

o1 mini gets it right on the first try. 😮





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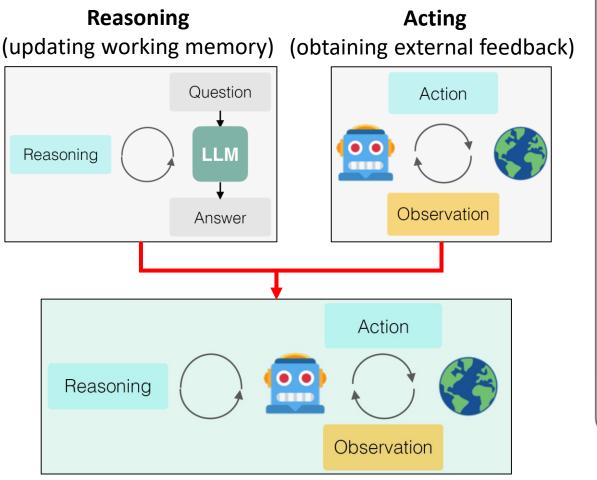
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Cognitive Architectures **ReAct**



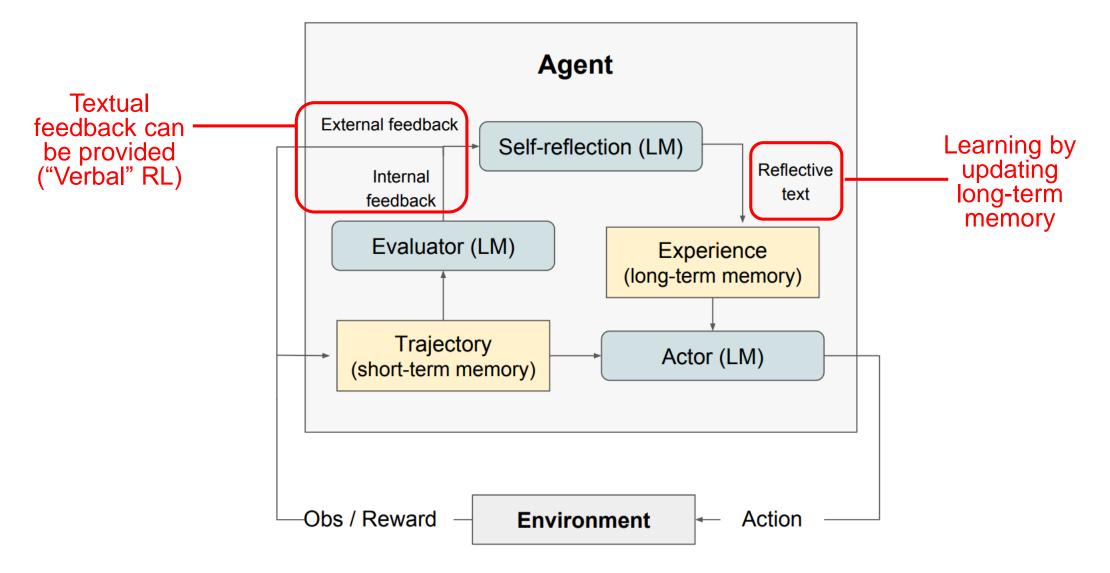
ReAct: Reason and Act

(1d) ReAct (Reason + Act)
Thought 1: I need to search Cirque du Soleil show Mystere, find the hotel it is in, then find the number of rooms in
the hotel.
Act 1: Search [Cirque du Soleil show Mystere]
Obs 1: Could not find Cirque du Soleil show Mystere.
Similar: ['Cirque du Soleil' …
Thought 2: To find the hotel, I can search Mystere (Cirque
du Soleil).
Act 2: Search [Mystere (Cirque du Soleil)]
Obs 2: Mystère (my-steer) is Held in a custom theatre at
the Treasure Island Hotel and Casino
the readers istand hoter and sasthe m
<pre>Thought 3: Mystere is held in a custom theatre at the Treasure Island Hotel and Casino. So I need to search Treasure Island Hotel and Casino next and find the number of rooms in the hotel. Act 3: Search[Treasure Island Hotel and Casino] Obs 3: Treasure Island Hotel and Casino with 2,884 rooms and 220 suites</pre>
Thought 4: Treasure Island Hotel and Casino has 2,884 rooms
and 220 suites. So the answer is 3,104.
Act 4: Finish[3,104] Up-to-date
It lacks semantic or episodic memory and

It lacks semantic or episodic memory and therefore has no **retrieval** or **learning** actions.



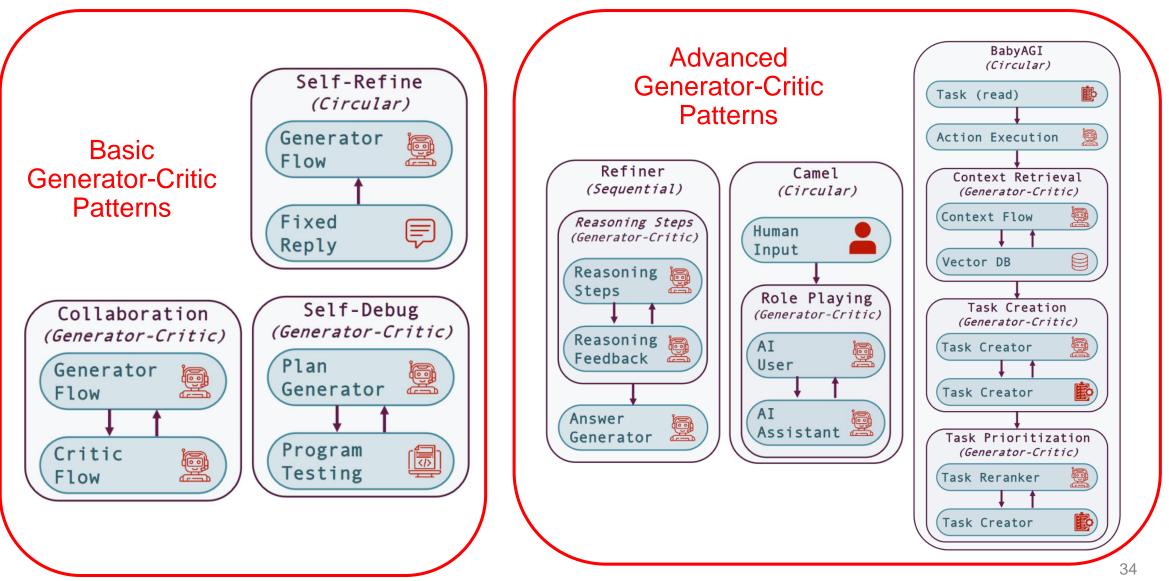
Cognitive Architectures **Reflexion**





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Cognitive Architectures From building blocks to complex patterns



Josifoski et al., Flows: Building Blocks of Reasoning and Collaborating AI, 2023. http://arxiv.org/abs/2308.01285.

Gallaba et al., Alware Leadership Bootcamp, Toronto, Canada, 2024

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Multi-agent Abstractions

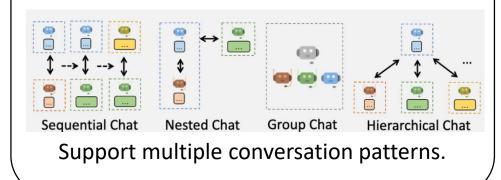
Basic Building Blocks



Each agent is powered by an FM and can use own set of tools.

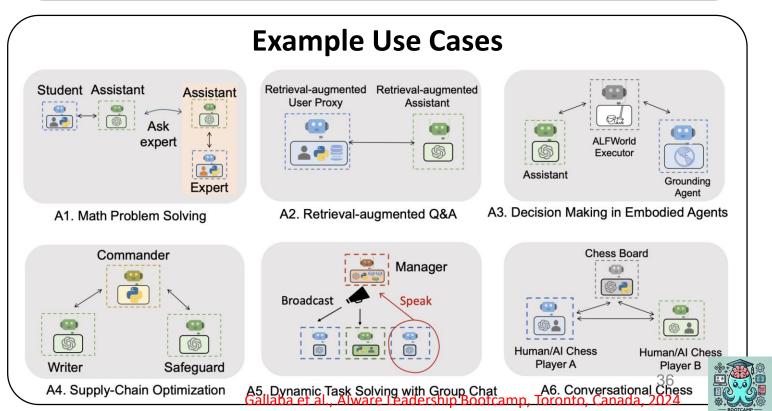


Agents can converse with other agents or humans.



https://autogen-ai.github.io/autogen/docs/notebooks https://cookbook.openai.com/examples/orchestrating_agents Think of **modularity** when designing multi-agent systems.

Giving each agent its own **system prompt** and **context window** will improve the performance. Breaking the system into parts this way will also help with **debugging**.



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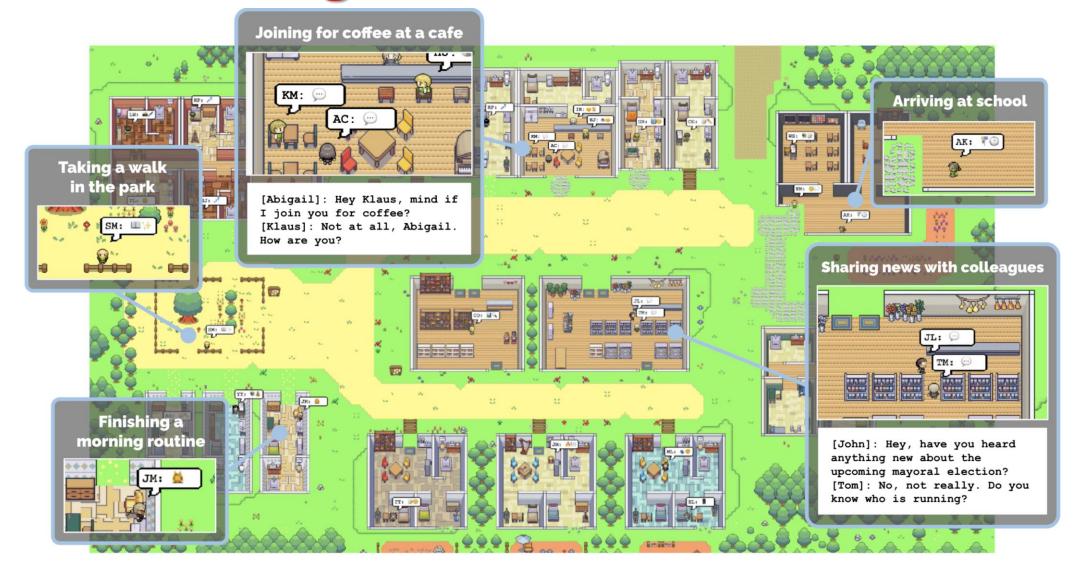
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Agents in action Generative Agents



Generative agents: Interactive simulacra of human behavior, 2023





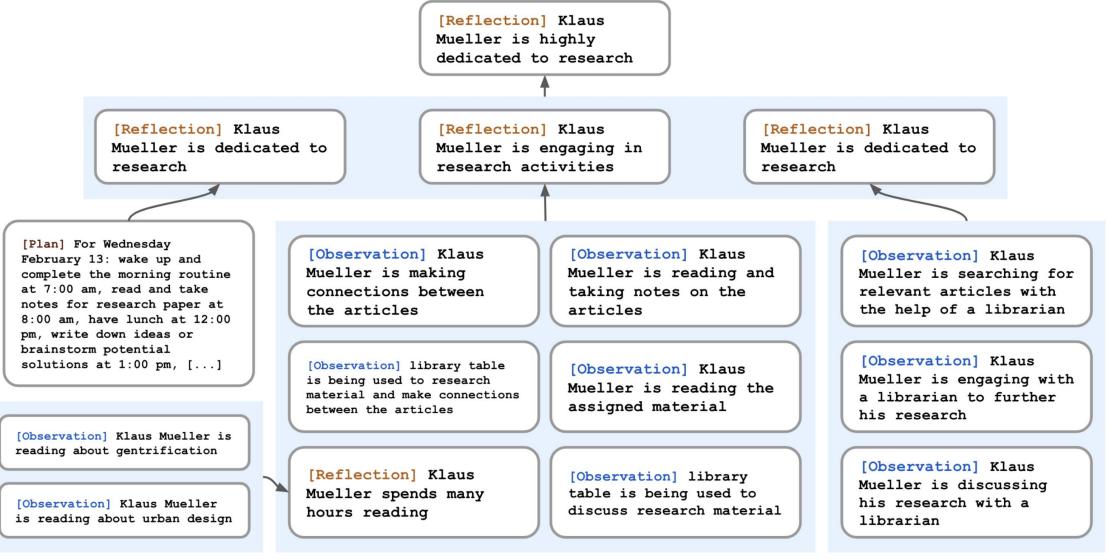
Generative Agents Querying Episodic Memory

	1
Memory Stream	
2023-02-13 22:48:20: desk is idle 2023-02-13 22:48:20: bed is idle	
2023-02-13 22:48:10: closet is idle 2023-02-13 22:48:10: refrigerator is idle	
2023-02-13 22:33:30: shelf is idle	
2023-02-13 22:33:10: Isabella Rodriguez is writing in her journal 2023-02-13 22:18:10: desk is idle	
2023-02-13 22:18:10: Isabella Rodriguez is taking a break 2023-02-13 21:49:00: bed is idle 2023-02-13 21:48:50: Isabella Rodriguez is cleaning up the	
kitchen 2023-02-13 21:48:50: refrigerator is idle	
2023-02-13 21:48:10: shelf is idle	
2023-02-13 21:19:10: shelf is organized and tidy 2023-02-13 21:18:10: desk is idle	
2023-02-13 21:18:10: Isabella Rodriguez is reading a book 2023-02-13 21:03:40: bed is idle	
2023-02-13 21:03:30: refrigerator is idle 2023-02-13 21:03:30: desk is in use with a laptop and some papers on it	
	2023-02-13 22:48:20: desk is idle 2023-02-13 22:48:20: bed is idle 2023-02-13 22:48:10: closet is idle 2023-02-13 22:48:10: refrigerator is idle 2023-02-13 22:48:10: Isabella Rodriguez is stretching 2023-02-13 22:33:30: shelf is idle 2023-02-13 22:33:30: desk is neat and organized 2023-02-13 22:33:10: Isabella Rodriguez is writing in her journal 2023-02-13 22:18:10: desk is idle 2023-02-13 22:18:10: Isabella Rodriguez is taking a break 2023-02-13 21:49:00: bed is idle 2023-02-13 21:48:50: Isabella Rodriguez is cleaning up the kitchen 2023-02-13 2023-02-13 21:48:50: bed is being used 2023-02-13 21:48:10: shelf is idle 2023-02-13 21:48:10: shelf is organized and tidy 2023-02-13 21:18:10: desk is idle 2023-02-13 21:18:10: Isabella Rodriguez is reading a book 2023-02-13 21:03:30: refrigerat

Q. What are you looking forward to the most right now?

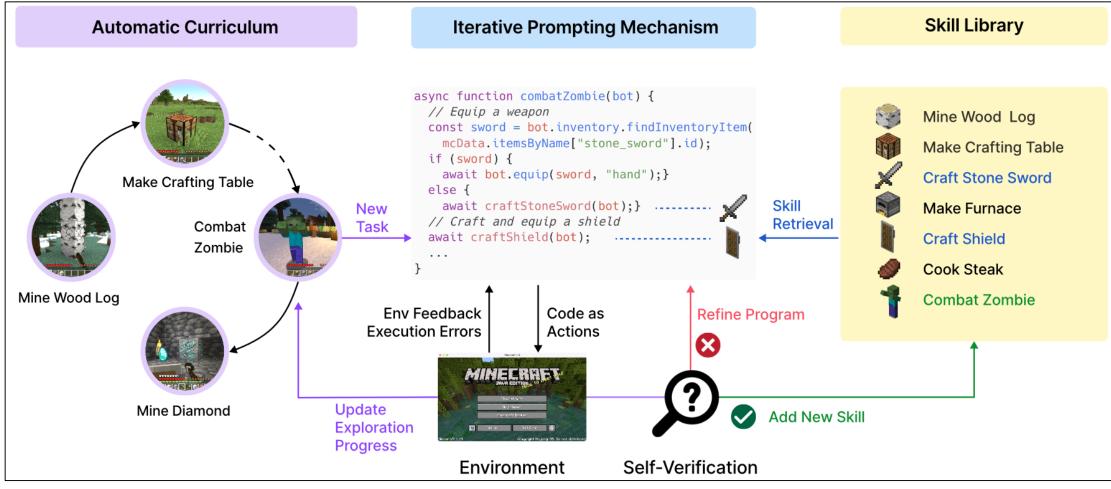
retrieval	_	recency	in	portanc	:e	relevance	
2.34	=	0.91	٠	0.63	٠	0.80	
ordering d	ecorati	ons for	the	party			
2.21] = [0.87	•	0.63	•	0.71	7
researchin	g ideas		e par	rty			-
2.20] = [0.85	•	0.73	•	0.62	
			Ļ				

Generative Agents Building Semantic Memory from reflection





Agents in action **Voyager**

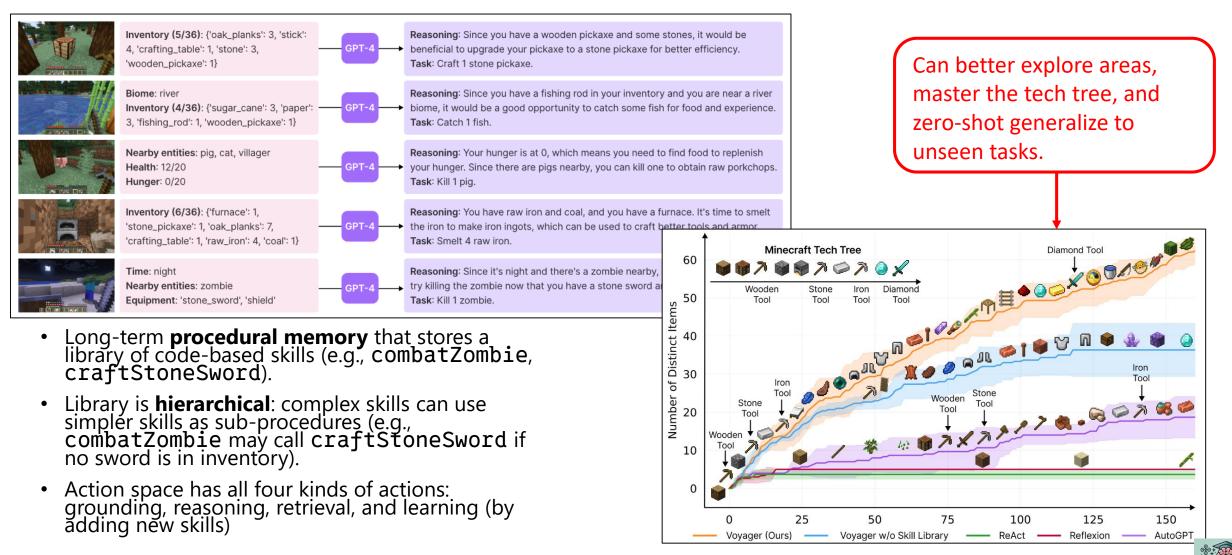


Key ideas: Open-ended exploration, code as action space, increasingly complex behaviors



Wang et al., Voyager: An open-ended embodied agent with large language models.

Voyager From Procedural Memory to Interaction



Wang et al., Voyager: An open-ended embodied agent with large language models.

Gallaba et al., Alware Leadership Bootcamp, Toronto, Canada, 2024

Overview of the session

□What is an Agent?

□ Agents operate in environments

□ Types of agentic memory

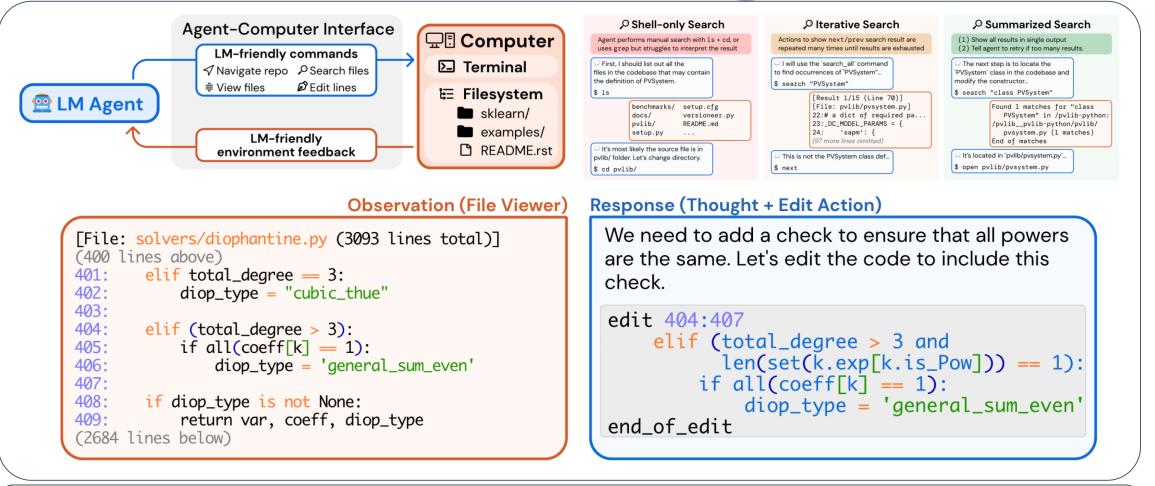
- Procedural Memory
 Semantic Memory
 Episodic Memory
- □ Action Space of Agents
- □ Reasoning and Planning
- □Cognitive Architectures
- □ Multi-agent Abstractions
- □ Agents in Action
- □ Agent Applications in Software Engineering
- □ Open Research Challenges





Agent Applications in Software Engineering

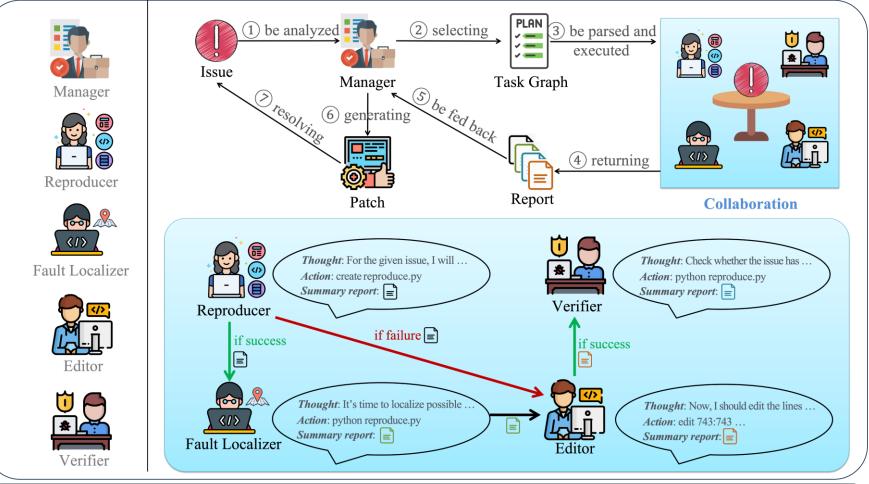
Issue Resolution – SWE-Agent



Key idea: Agent-Computer Interface i.e., Define specialized tools that make it possible to efficiently explore repositories and edit code.



Agent Applications in Software Engineering ISSUE Resolution – CodeR



Key idea: Generate plan (task graph) in advance by manager agent. Then, execute individual steps by other agents.

Chen et al., CodeR: Issue Resolving with Multi-Agent and Task Graphs https://github.com/NL2Code/CodeR.

Gallaba et al., Alware Leadership Bootcamp, Toronto, Canada, 2024



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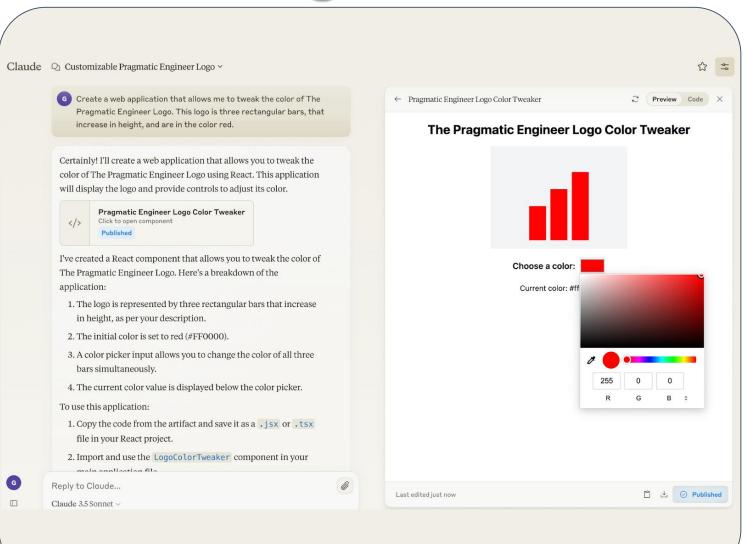


Open Research Challenges Controllability

Evading shutdown	Hacking computer systems	Run many Al copies	Acquire computation	Attract earnings and investment	Hire or manipulate human assistants	Al research and programming
Persuasion and lobbying	Hiding unwanted behavior	Strategically appear aligned	Escaping containment	R&D	Manufacturin g and robotics	Autonomous weaponry



Open Research Challenges Human-Agent Interfaces

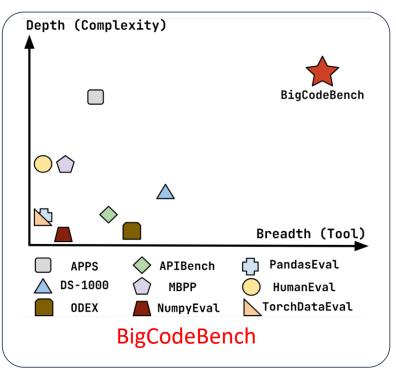




https://newsletter.pragmaticengineer.com/p/how-anthropic-built-artifacts

Gallaba et al., Alware Leadership Bootcamp, Toronto, Canada, 2024

Open Research Challenges Evaluation

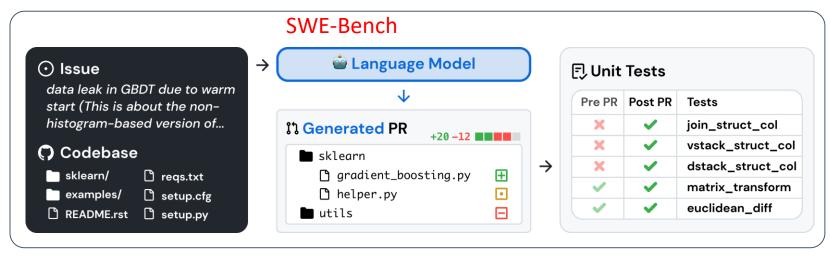


Yao et al., τ -bench: A Benchmark for Tool-Agent-User Interaction in Real-World Domains

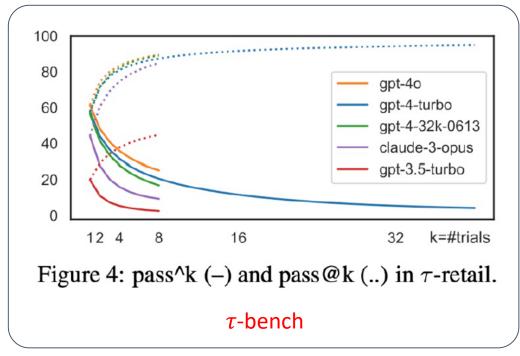
Jimenez et al., SWE-bench: Can Language Models Resolve Real-World GitHub Issues?

Bahdanau et al., TapeAgents: a Holistic Framework for Agent Development and Optimization

https://bigcode-bench.github.io/



- Grounded
- Responsive
- Accurate
- Disciplined
- Transparent
- Helpful





What is an agent? Why does it matter?

Carl Hewitt recently remarked that the question "what is an agent?" is embarrassing for the agentbased computing community in just the same way that the question "what is intelligence?" is embarrassing for the mainstream AI community. The problem is that although the term is widely used, by many people working in closely related areas, it defies attempts to produce a single universally accepted definition. This need not necessarily be a problem: after all, if many people are successfully developing interesting and useful applications, then it hardly matters that they do not agree on potentially trivial terminological details. However, there is also the danger that unless the issue is discussed, 'agent' might become a 'noise' term, subject to both abuse and misuse, to the potential confusion of the research community.

- Michael Wooldridge,

in **1994**, Intelligent Agents: Theory and Practice



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Gallaba et al., Alware Leadership Bootcamp, Toronto, Canada, 2024

Talking about agents

- The sudden presence among us of exotic, mind-like entities might precipitate a shift in the way we use familiar psychological terms like "believes" and "thinks".
- It may require an extensive period of interacting with, of living with, these new kinds of artefact before we learn how best to talk about them.
- Meanwhile, we should try to resist the siren call of anthropomorphism.

